

The background of the slide is a detailed illustration of the Pioneer 10 spacecraft in space. The spacecraft is shown from a perspective that highlights its complex structure, including the large parabolic antenna dish, the main body, and the long boom extending to the antenna. The spacecraft is set against a dark, star-filled background, with a large, glowing celestial body (likely Jupiter) visible in the upper right corner. The lighting is dramatic, with the spacecraft's metallic surfaces reflecting light from the nearby planet.

# Pioneer vs. Newton: The anomaly, its resolution and implications

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April 4, 2013

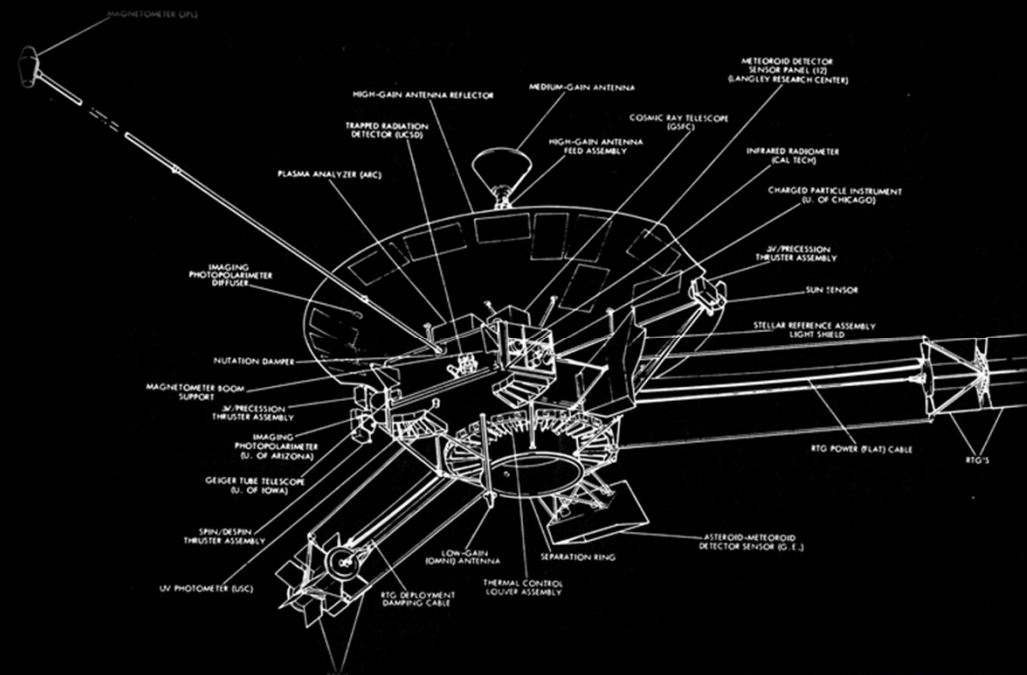
# The Pioneer 10/11 missions

- Launched in 1972 and 1973
- First to explore beyond Mars
- First to visit Jupiter and Saturn
- Planned duration: 600-900 days



# The Pioneer spacecraft

- Mass: ~250 kg
- Spin stabilized (4.8 rpm nominal)
- Radioisotope Thermoelectric Generators
- Electrical Power: ~160 W (at launch)
- 11 Scientific Instruments
- 2.75 m High Gain Antenna
- Transmitter: 8 W
- Data rate: 16-2048 bps

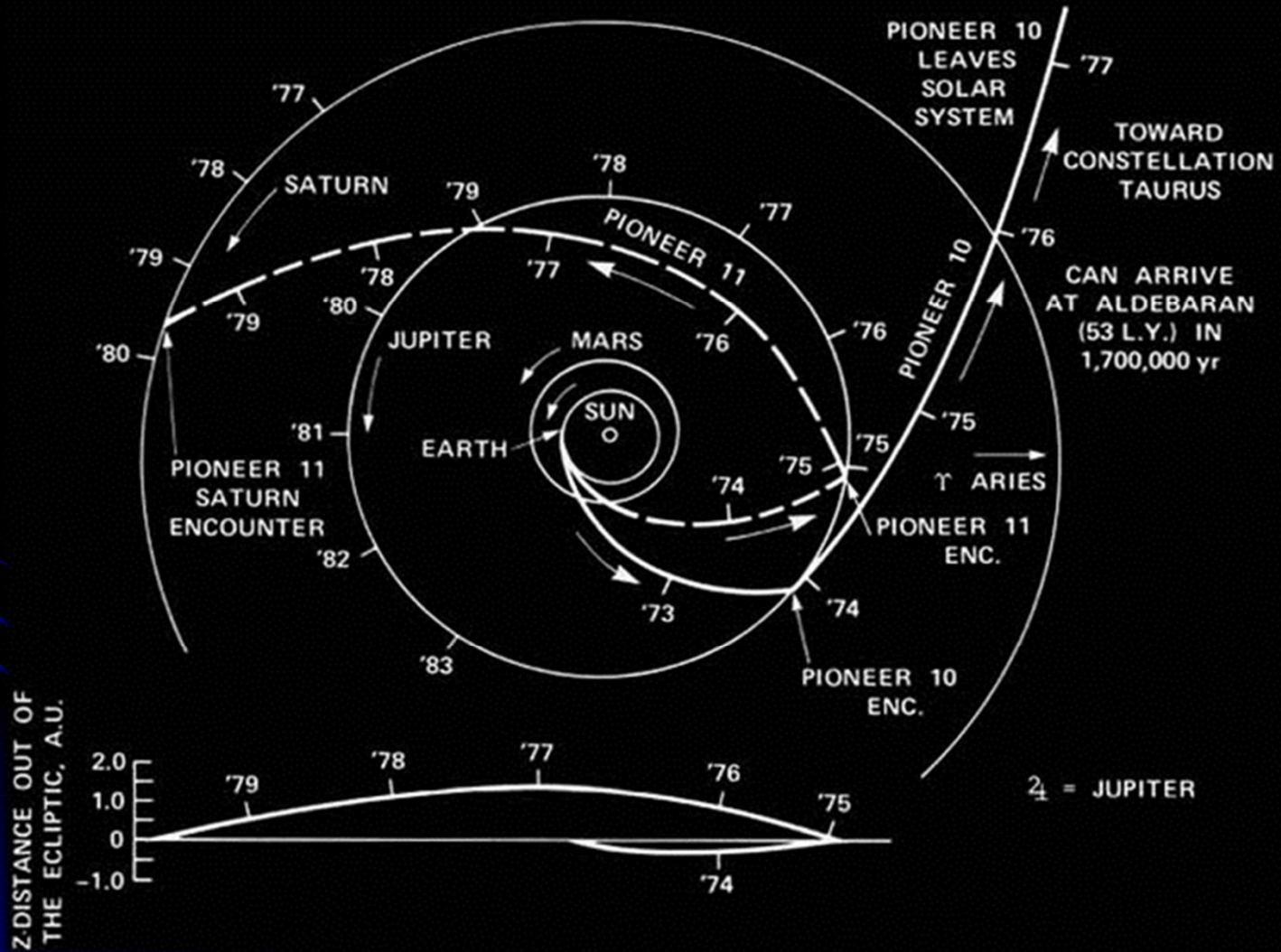


# Mission objectives

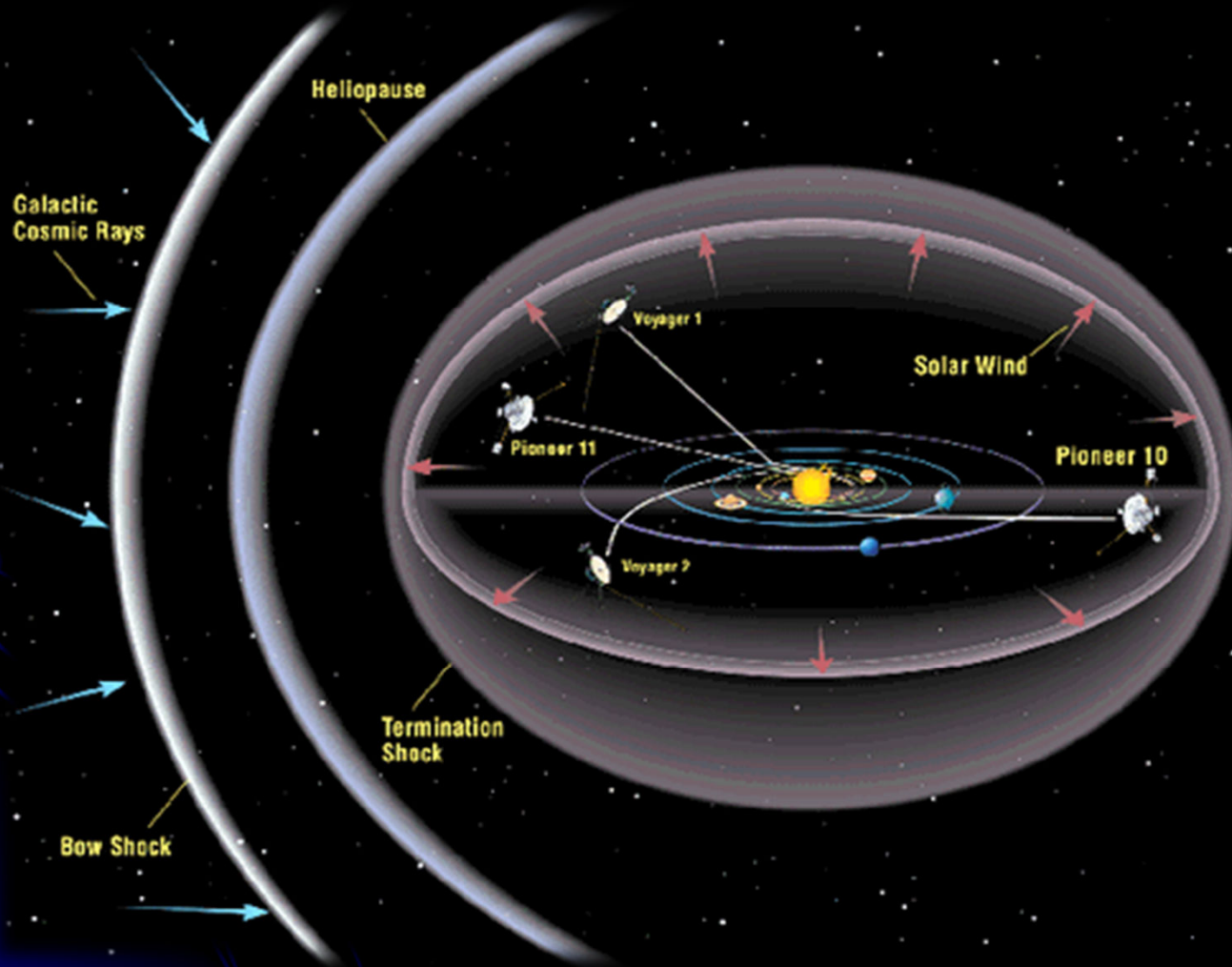
- Primary Objectives
  - Explore the asteroid belt
  - Explore beyond Mars
  - Close-up observations of Jupiter
- Secondary Objectives
  - Explore the outer solar system
  - Search for gravity waves
  - Search for “Planet X”



# Pioneer orbits – early years

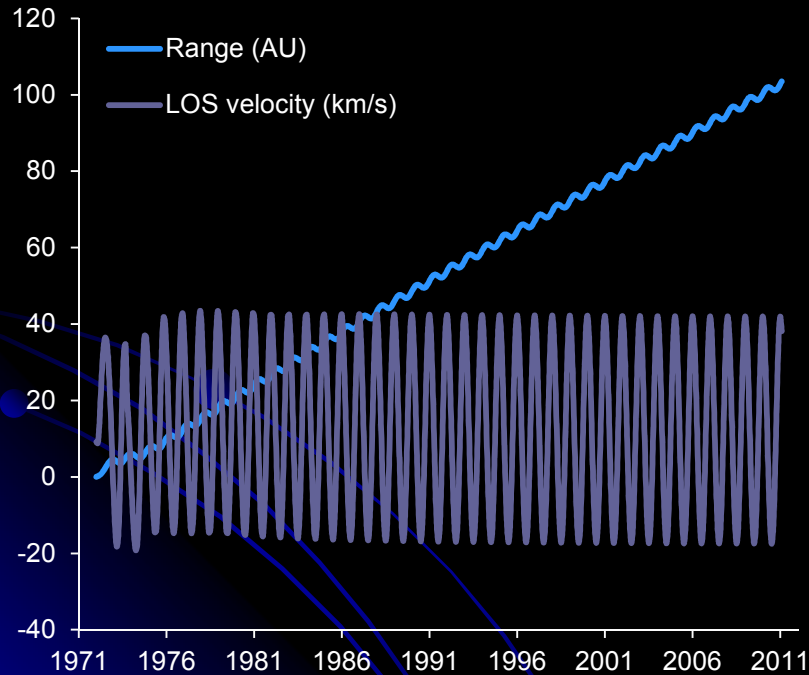


# Pioneer and Voyager orbits through the outer solar system

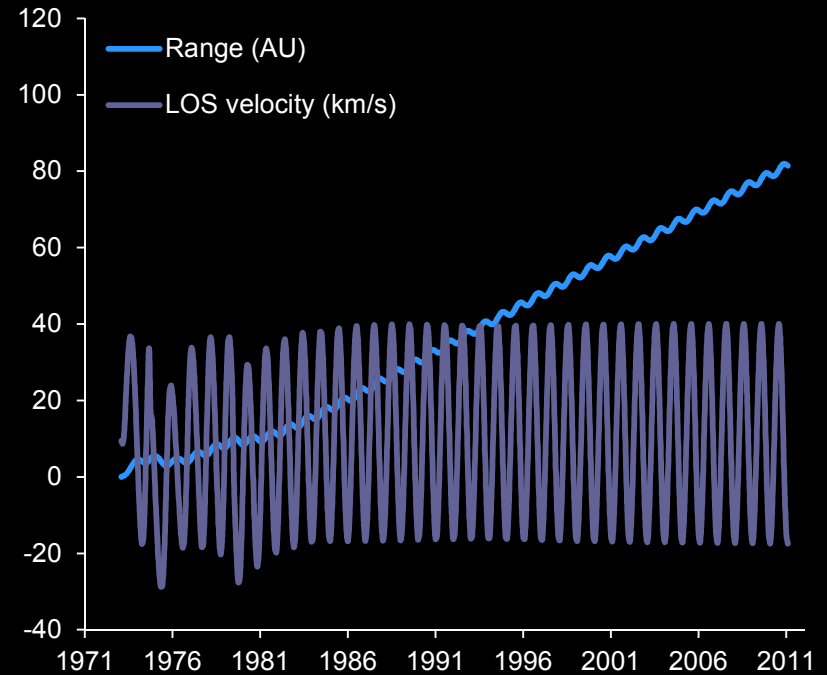


# Distance and geocentric velocity

## Pioneer 10



## Pioneer 11



# Orientation maneuvers

- Few maneuvers needed for spinning spacecraft
- Few maneuvers → clean navigational data
- Ingenious “Closed loop” CONSCAN maneuver lets the spacecraft “home in” on DSN signal
- Late in the mission, ~2 CONSCANs a year were performed



# Pioneer 10 after 30 years

- Distance from Sun: ~80 AU
- Round-trip light time: ~21 hours
- Speed relative to the Sun: ~12 km/s
- One instrument (GTT) was still operating (power-down command sent last track, but never confirmed)
- Bus voltage ~ 26VDC instead of nominal 28VDC
- Transmitter XCO failed (probably due to cold)
- Transmitter still operating in coherent mode
- Many temperature readings “off scale” or outside calibrated ranges
- Propellant lines frozen (no maneuvers possible)

# Eddington's parameters

- In a simplified first approximation (ignoring acceleration-dependent and nonlinear terms), the PPN\* metric reads:

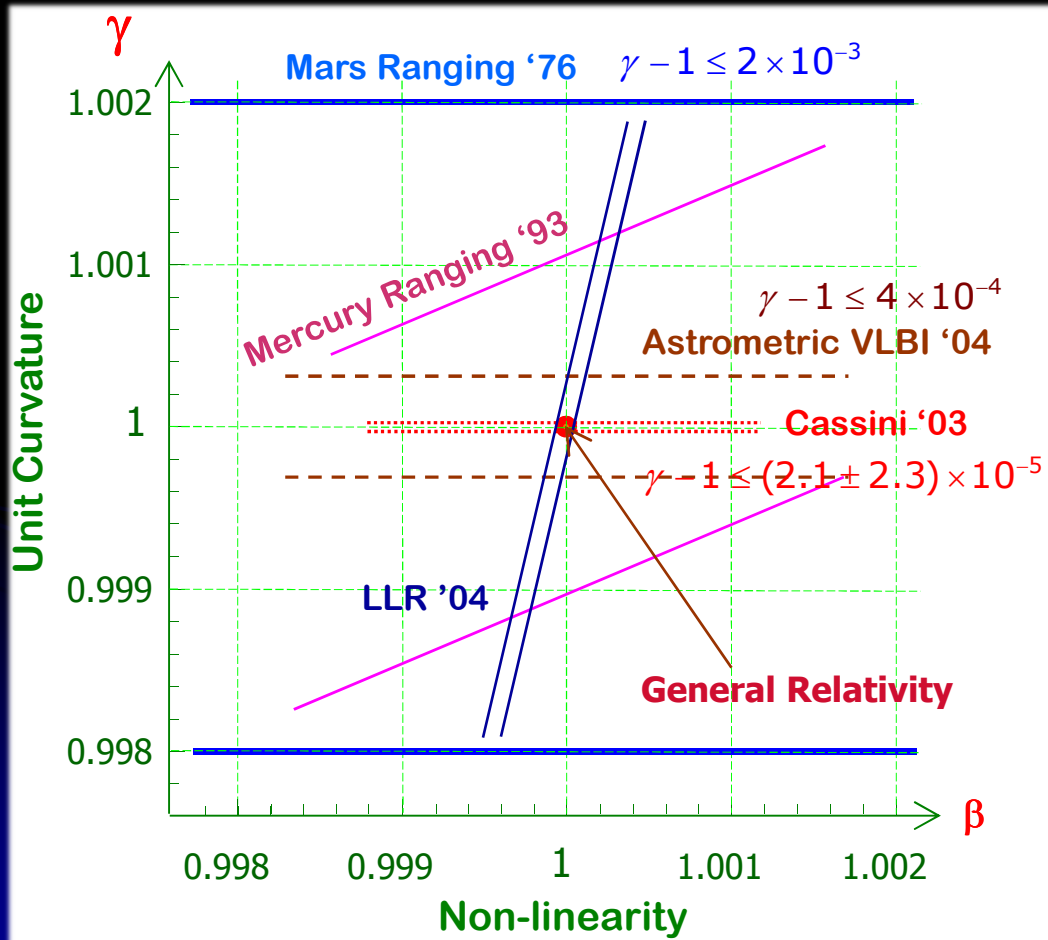
$$g_{11} = g_{22} = g_{33} = -1 + \frac{2\gamma}{c^2} \phi,$$
$$g_{44} = 1 - \frac{2}{c^2} \phi + \frac{2\beta}{c^4} \phi^2,$$

where  $\phi = Gm/r$  is the Newtonian gravitational potential.

- For general relativity,  $\beta = \gamma = 1$ .

\*Parameterized Post-Newtonian

# Experimental general relativity



$$g_{11} = g_{22} = g_{33} = - \left( 1 + \frac{2\gamma}{c^2} \sum_{j \neq i} \frac{\mu_j}{r_{ij}} \right)$$

$$g_{pq} = 0 \quad (p, q = 1, 2, 3; p \neq q)$$

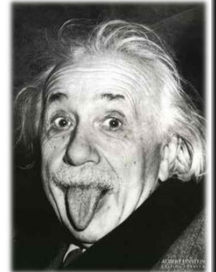
$$g_{14} = g_{41} = \frac{2 + 2\gamma}{c^3} \sum_{j \neq i} \frac{\mu_j \dot{x}_j}{r_{ij}}$$

$$g_{24} = g_{42} = \frac{2 + 2\gamma}{c^3} \sum_{j \neq i} \frac{\mu_j \dot{y}_j}{r_{ij}}$$

$$g_{34} = g_{43} = \frac{2 + 2\gamma}{c^3} \sum_{j \neq i} \frac{\mu_j \dot{z}_j}{r_{ij}}$$

$$g_{44} = 1 - \frac{2}{c^2} \sum_{j \neq i} \frac{\mu_j}{r_{ij}} + \frac{2\beta}{c^4} \left( \sum_{j \neq i} \frac{\mu_j}{r_{ij}} \right)^2 - \frac{1 + 2\gamma}{c^4} \sum_{j \neq i} \frac{\mu_j \dot{s}_j^2}{r_{ij}}$$

$$+ \frac{2(2\beta - 1)}{c^4} \sum_{j \neq i} \frac{\mu_j}{r_{ij}} \sum_{k \neq j} \frac{\mu_k}{r_{jk}} - \frac{1}{c^4} \sum_{j \neq i} \mu_j \frac{\partial^2 r_{ij}}{\partial t^2}$$

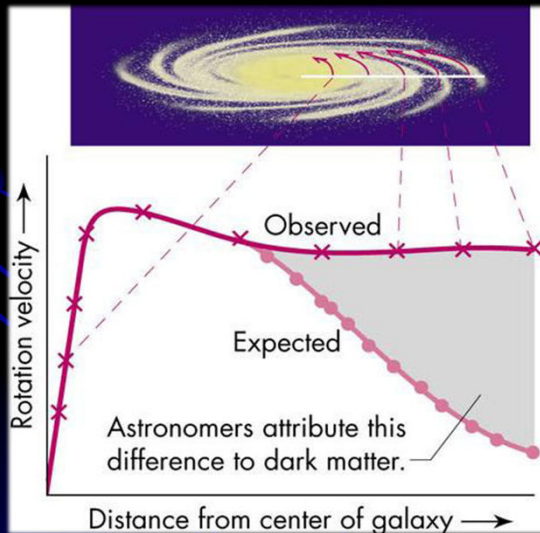


Albert Einstein  
(1879-1955)

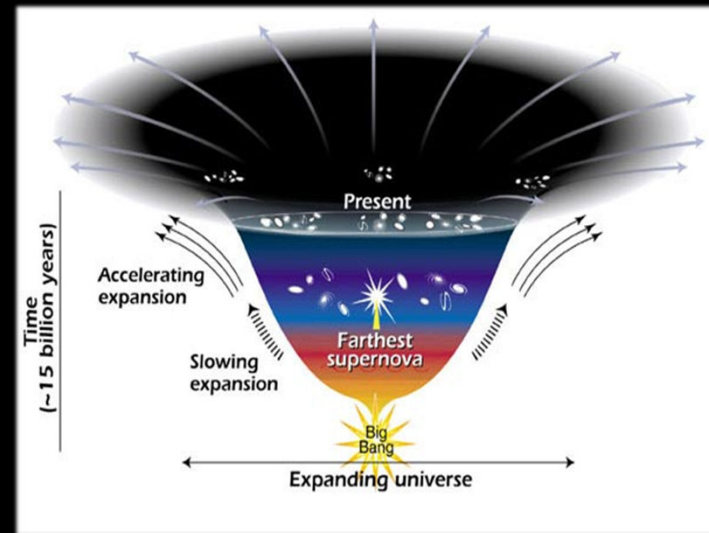
Parameterized Post-Newtonian (PPN) formalism  
From Moyer (JPL Publication 00-7)

# Gravity misbehaves on cosmic scales

- Galaxies do not rotate as expected
- Supernovae, microwave background show accelerated expansion



Dark matter?



Dark energy?

# Or in the outer solar system!

VOLUME 81, NUMBER 14

PHYSICAL REVIEW LETTERS

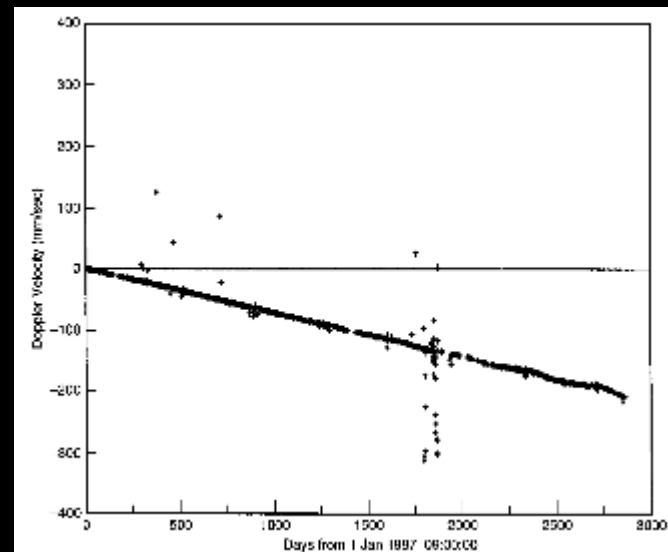
5 OCTOBER 1998

## Indication, from Pioneer 10/11, Galileo, and Ulysses Data, of an Apparent Anomalous, Weak, Long-Range Acceleration

John D. Anderson,<sup>1,\*</sup> Philip A. Laing,<sup>2,†</sup> Eunice L. Lau,<sup>1,‡</sup> Anthony S. Liu,<sup>3,§</sup>  
Michael Martin Nieto,<sup>4,||</sup> and Slava G. Turyshev<sup>1,¶</sup>

Radio metric data from the Pioneer 10/11, Galileo, and Ulysses spacecraft indicate an apparent anomalous, constant, acceleration acting on the spacecraft with a magnitude  $\sim 8.5 \times 10^{-8} \text{ cm/s}^2$ , directed towards the Sun. Two independent codes and physical strategies have been used to analyze the data. A number of potential causes have been ruled out. We discuss future kinematic tests and possible origins of the signal. [S0031-9007(98)07300-1]

We conclude, from the JPL-ODP analysis, that there is an unmodeled acceleration  $a_p$  towards the Sun of  $(8.09 \pm 0.20) \times 10^{-8} \text{ cm/s}^2$  for Pioneer 10 and of  $(8.56 \pm 0.15) \times 10^{-8} \text{ cm/s}^2$  for Pioneer 11. The error is determined by use of a five-day batch sequential filter with radial acceleration as a stochastic parameter subject to white Gaussian noise ( $\sim 500$  independent five-day samples of radial acceleration) [4,5]. *No magnitude variation of  $a_p$  with distance was found, within a sensitivity of  $2 \times 10^{-8} \text{ cm/s}^2$  over a range of 40 to 60 AU.*



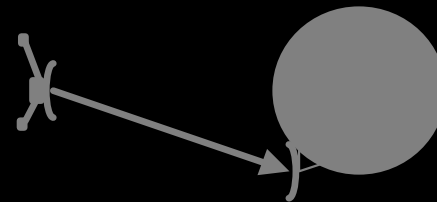
# Discovery of the Anomaly

- Search began in 1979 (for “Planet X”)
- Anomaly first detected in 1980
- Initial JPL ODP analysis in 1990-95
- Aerospace Corporation confirms: 1996-98
- Independent confirmation by Markwardt (2002), Olsen (2005), Toth (2009)
- Only limited stretches of data were studied; no telemetry, no formal thermal model.

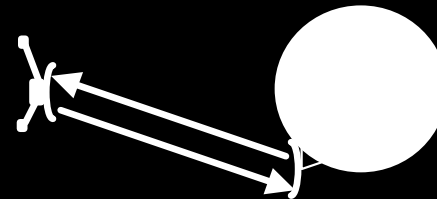
# Analysis of Doppler data

- All observations are two-way or three-way Doppler

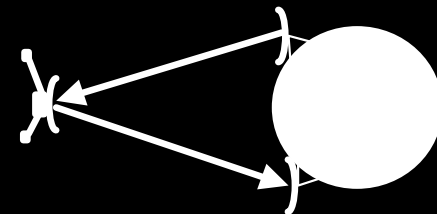
- One-way Doppler



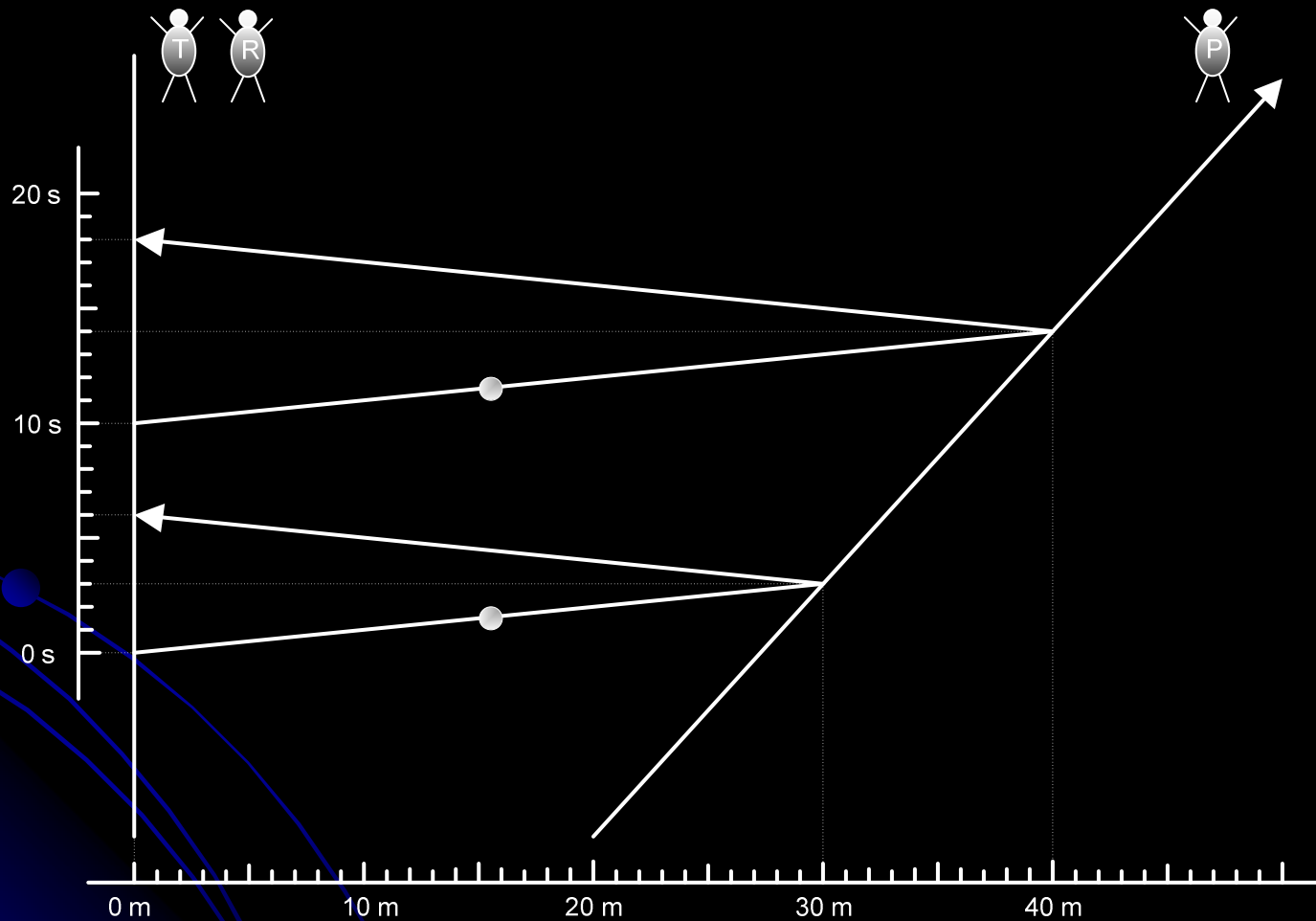
- Two-way Doppler



- Three-way Doppler

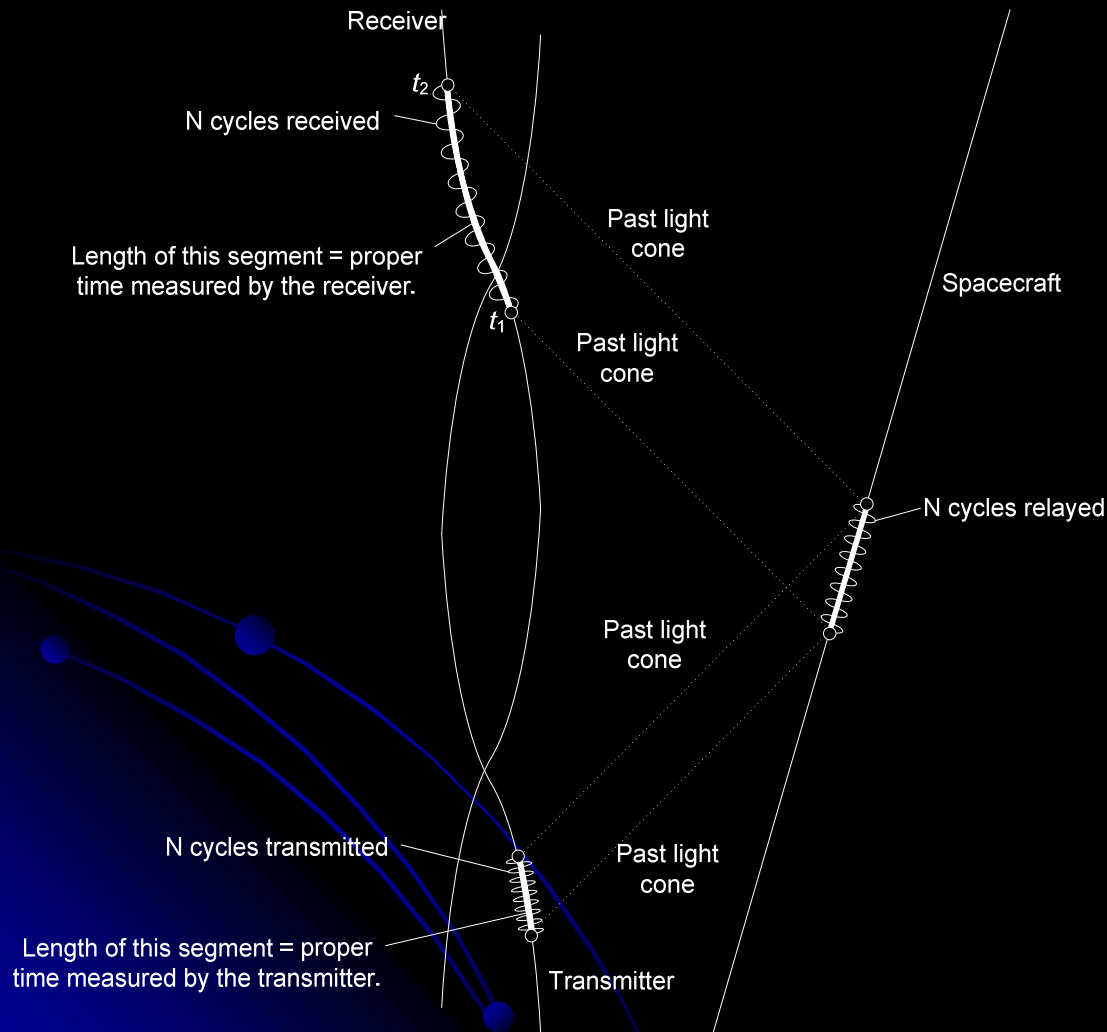


# Two-way (or three-way) Doppler





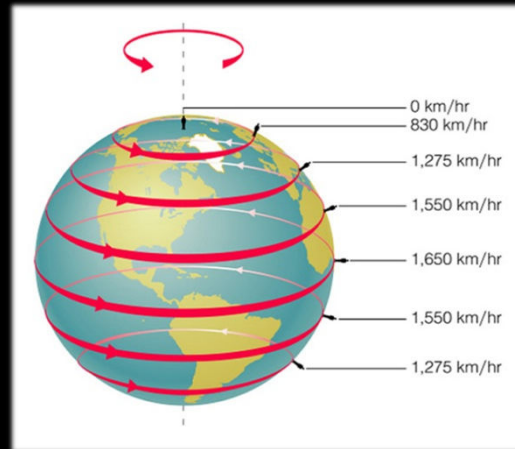
# Doppler measurements



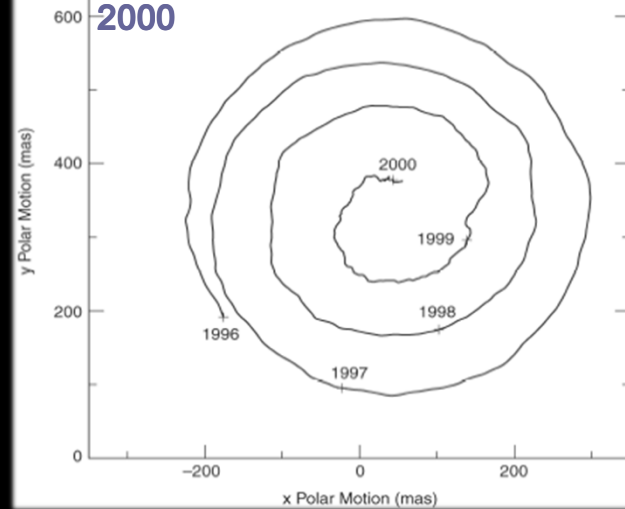
- A measurement at the receiver is made between  $t_1$  and  $t_2$
- These two instances of time are projected back onto the spacecraft's and then the transmitter's modeled world line; model accounts for
  - Post-Newtonian gravity of major solar system bodies
  - Maneuvers
  - Small non-gravitational forces (e.g., propellant leaks)
  - Shapiro delay
  - Effects of interplanetary medium (solar plasma)
  - Effects of the atmosphere
  - Motion of ground stations (tides, continental drift)
- The number of cycles transmitted is computed from the transmitter's known frequency
- This is then compared to the actual cycle count observed at the receiver
- Model is iteratively refined to reduce the residual difference.

# Terrestrial effects

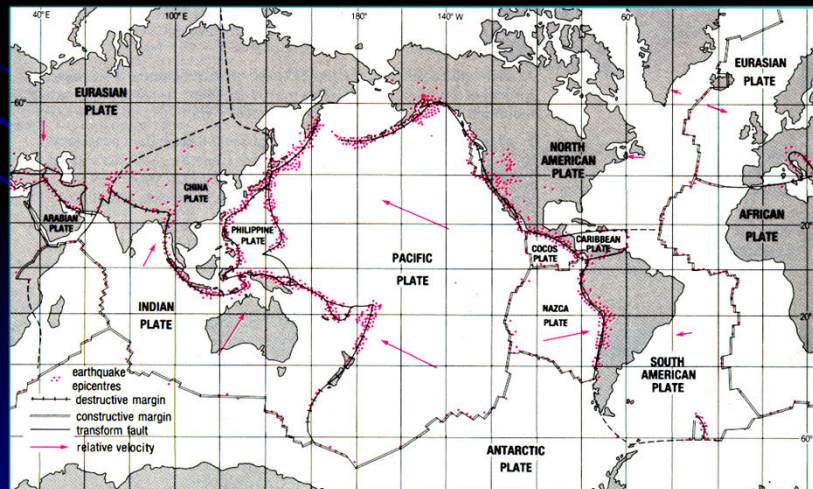
Earth Rotation



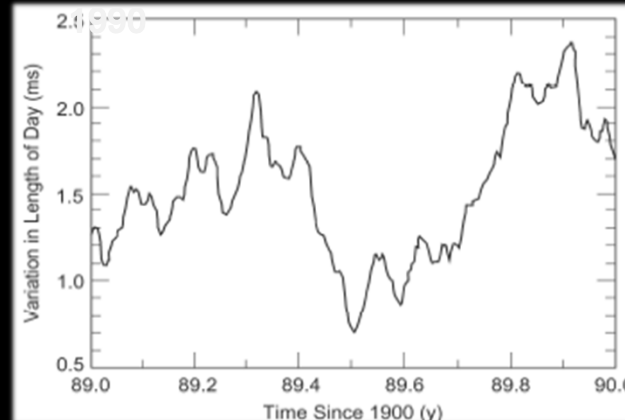
Observed Polar Motion 1996-2000



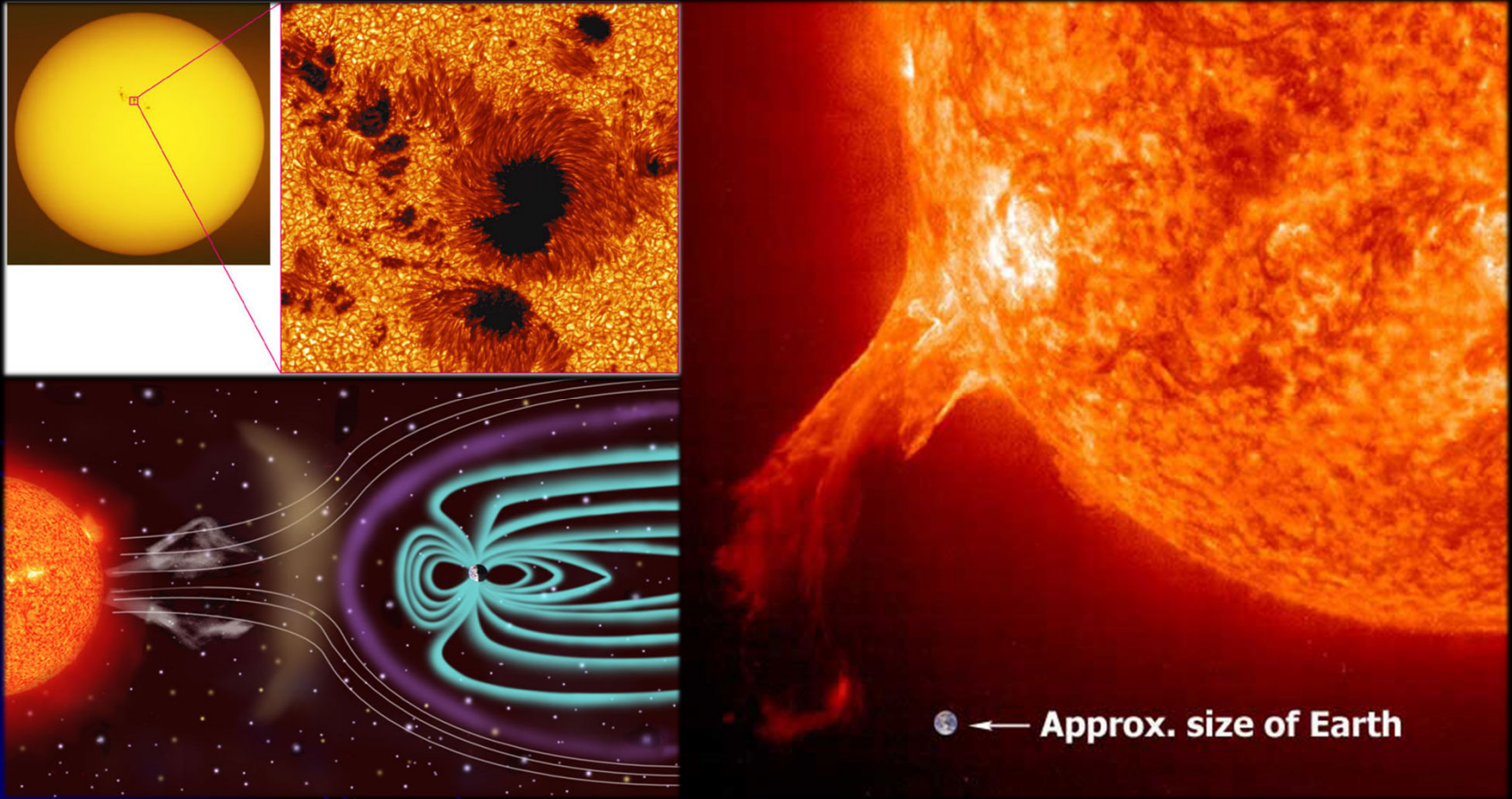
Tectonic Plate Motion



Variation in Length of Day since

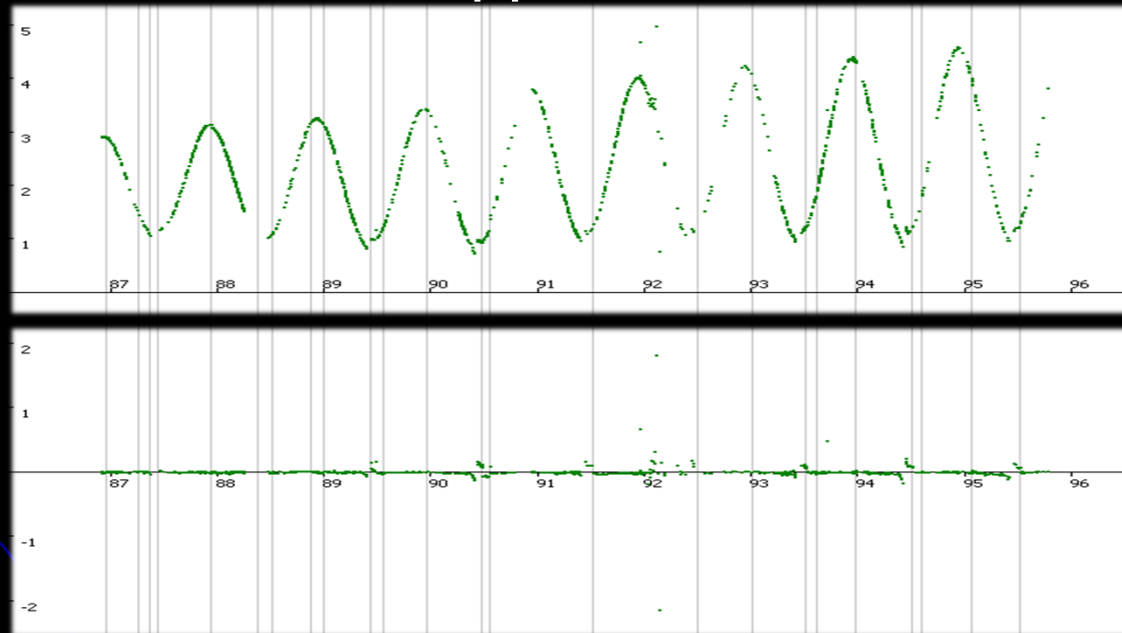


# Solar effects



# Doppler fits

- Model predicts spacecraft motion and Doppler
- Antenna measures actual Doppler
- Difference is called the “Doppler Residual”
- “Bad” fit:

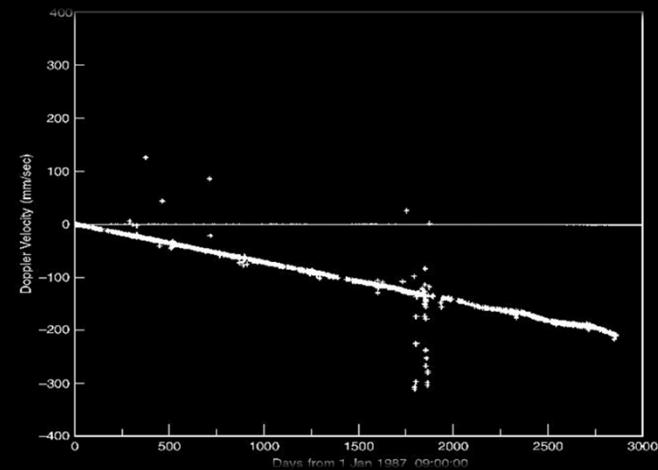
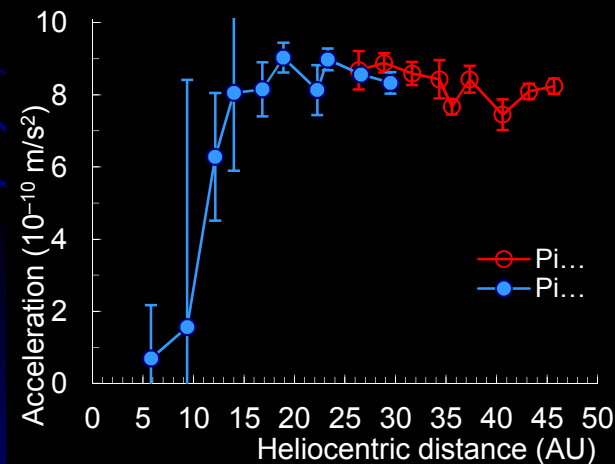


- “Good” fit:

- Accuracy is measured in mHz!

# The Pioneer Anomaly is NOT

$$a_P = (8.74 \pm 1.33) \times 10^{-10} \text{ m/s}^2$$

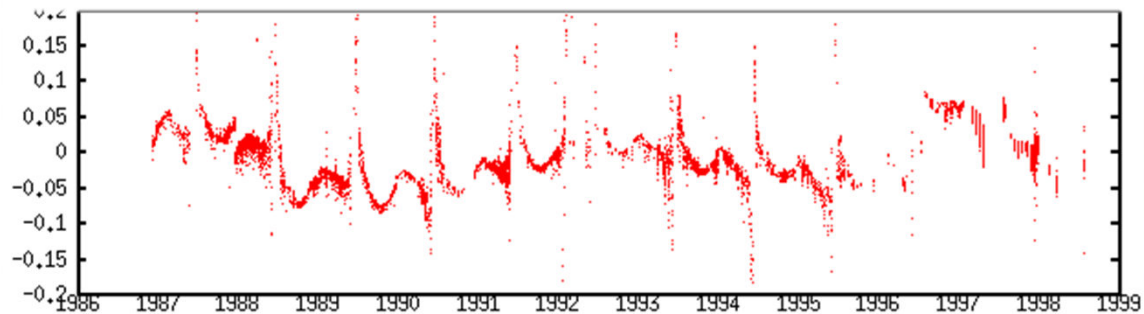


# The Pioneer Anomaly IS

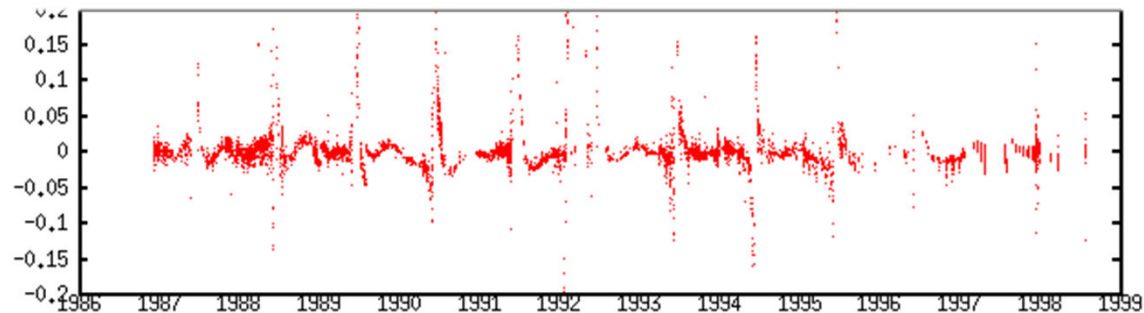


# The Pioneer Anomaly IS

this:



instead of this:



# Interpreting the residual

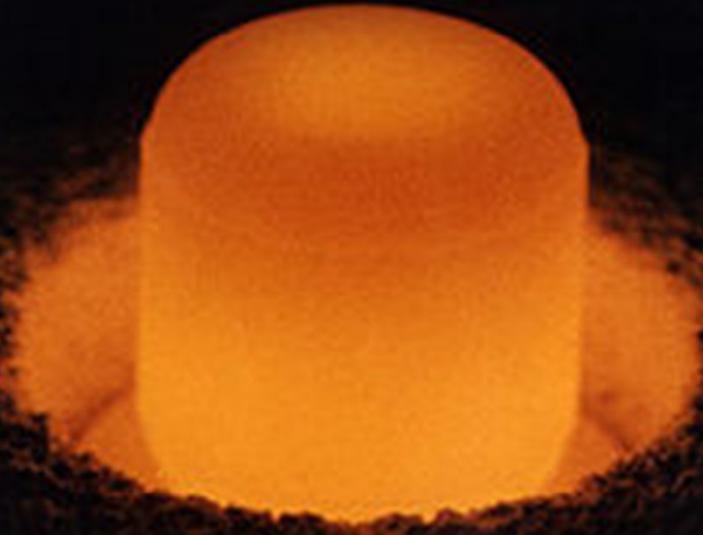
- Frequency drift:  $(5.99 \pm 0.01) \times 10^{-9}$  Hz/s (@ ~2 GHz)
- Velocity change:  $(8.74 \pm 1.33) \times 10^{-10}$  m/s<sup>2</sup>
- Clock acceleration:  $(2.92 \pm 0.44) \times 10^{-18}$  s/s<sup>2</sup>
- Velocity change (acceleration) is the “conventional” interpretation
- Effect small by engineering standards, but huge by the standards of gravity physics (first order effect, not second order correction!)



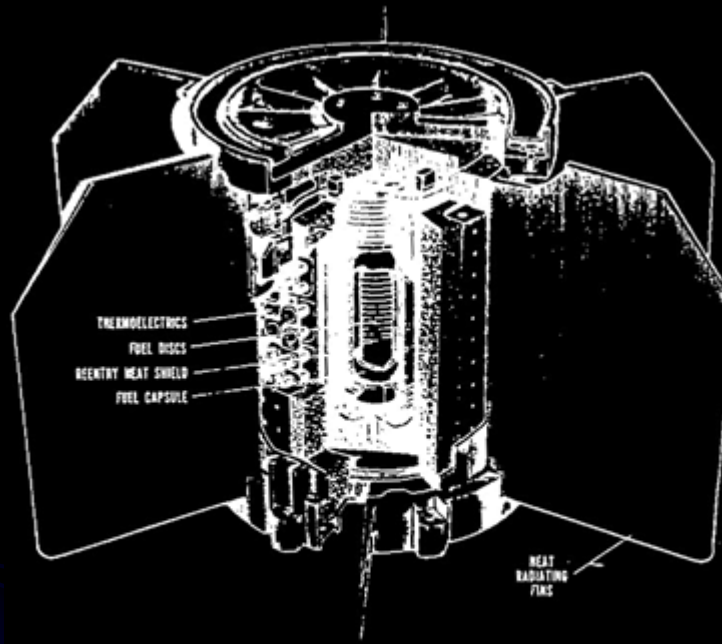
# Analysis of the anomaly

- May be systematic or “new physics”
- Alternatives proposed include
  - Gravity modification (MOND, MSTG, Yukawa potential)
  - Dark matter
  - Cosmological origin ( $|a_p| \approx cH_0$ : coincidence?)
- The magnitude of the thermal recoil force due to on-board generated heat was not fully investigated
- Let me establish the case for thermal recoil:

# The case for thermal recoil



# Pioneer power source



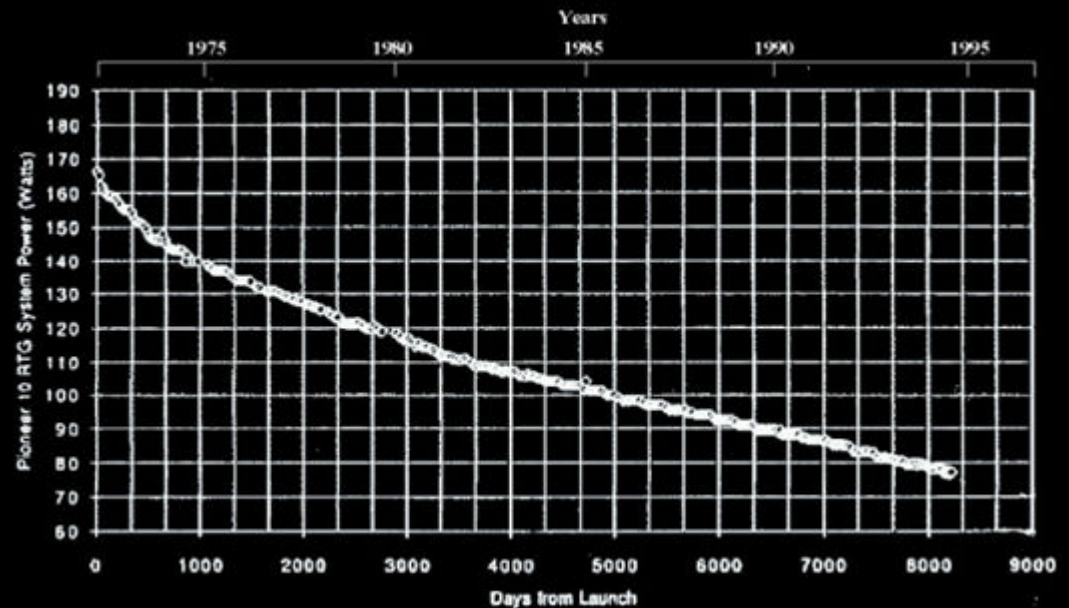
SNAP 19/PIONEER RADIOISOTOPE THERMOELECTRIC GENERATOR

RTG Thermal Power: ~650W

Electrical Power: ~40W

4 RTGs per spacecraft

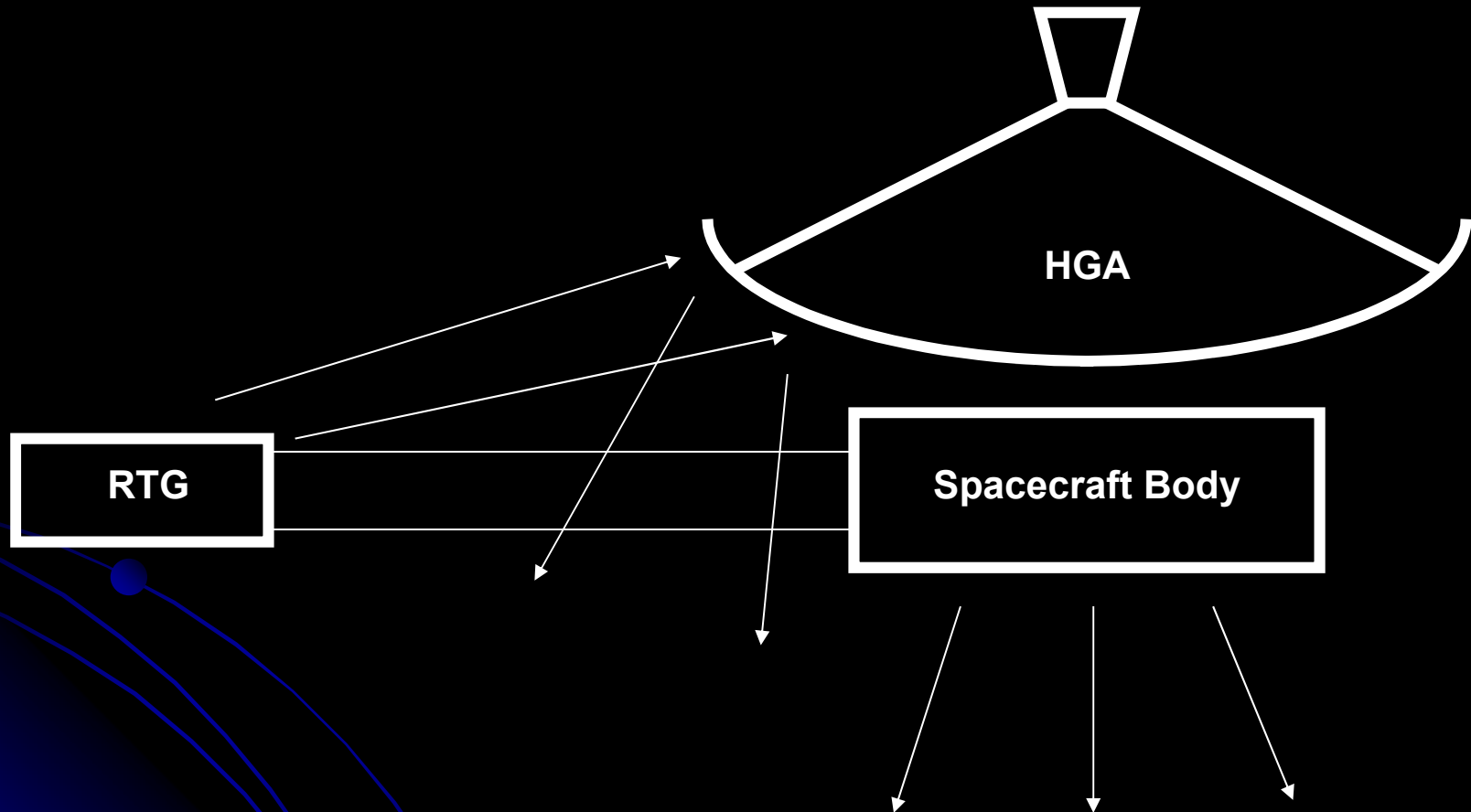
~4.6 kg  $^{238}\text{Pu}$  on board



# Thermal analysis

- The question: What recoil force is generated by on-board heat?
- Heat sources are easily enumerated:
  - RTG waste heat ( $\sim 2.5$  kW)
  - Electrical heat ( $\sim 100$  W)
  - RHUs ( $\sim 10$  W)
  - Propulsion system (transient)

# Thermal recoil geometry



# The ideas are not new...

- They have been around for some time:
  - Murphy (1999): Electrical heat accounts for much of the acceleration
  - Katz (1999): Electrical heat and reflected RTG heat account for the acceleration
  - Scheffer (2003): Combination of conventional forces (including paint degradation) explains acceleration

# ...but dismissed prematurely?

- Dismissed using “back-of-the-envelope” estimates
- “Back of the envelope” models are a dime a dozen:

$$P_{1 \rightarrow 2} = \iint P_1 \cos \chi_1 \cos \chi_2 / \pi r^2 dA_1 dA_2$$

- Doing it the right way is hard.

# The thermal hypothesis

- Total thermal output: 2.5 kW
- Small anisotropy:  $-2.5\%$  on one side,  $+2.5\%$  on the other, sufficient to explain acceleration
- Thermal models are approximations
- The anisotropy is a difference that is almost 2 orders of magnitude smaller than the estimated quantities



# Limits on accuracy

- Spacecraft were built 40 years ago
- Documentation is incomplete, some saved from dumpster
- Some material properties unknown
- Effects of deep space exposure unknown

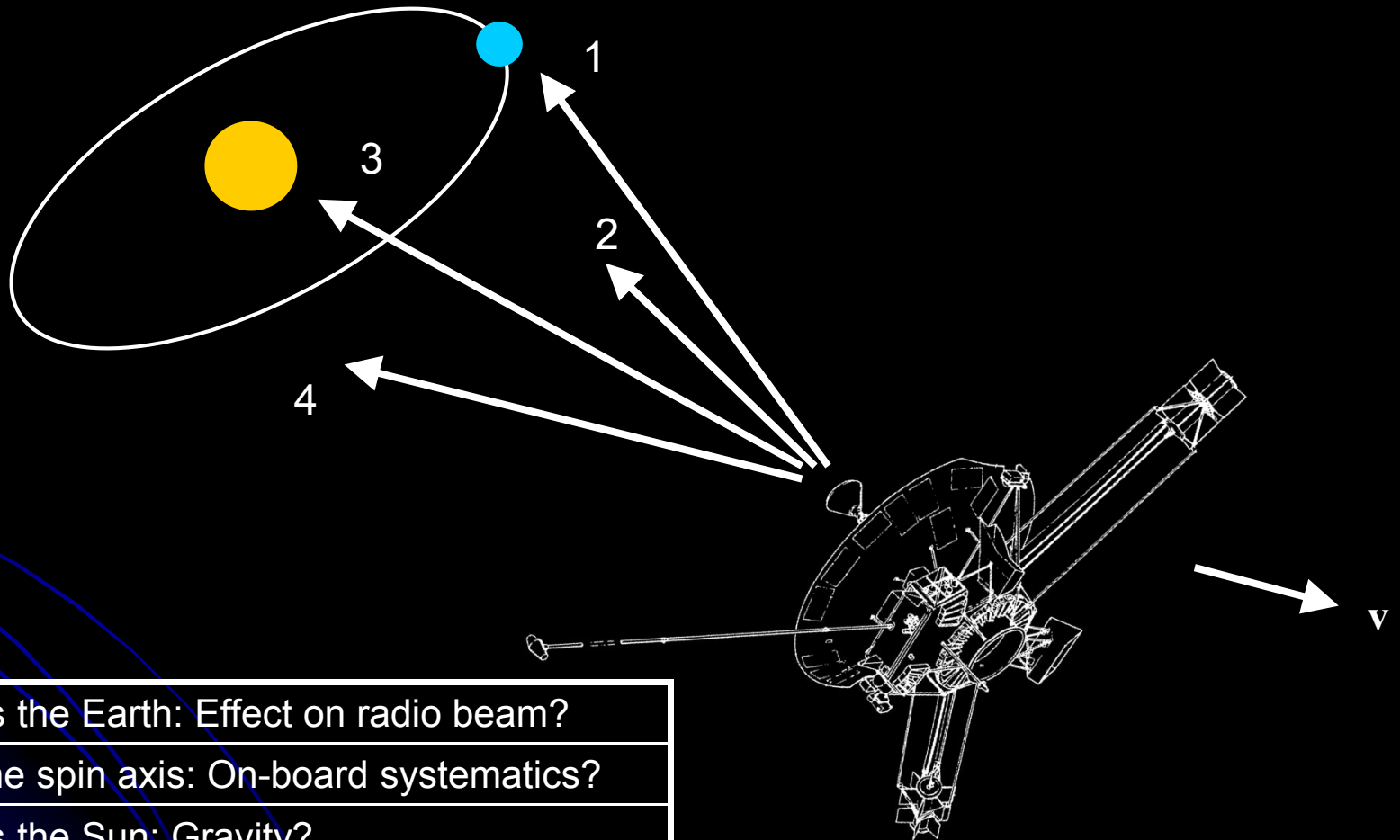
# New effort

- Recovered all telemetry from both craft
- Recovered twice the Doppler data
- Recovered project documentation
- New Doppler analysis
- Comprehensive thermal analysis
- New ways to integrate thermal model and trajectory reconstruction

# New Doppler analysis

- Using twice the amount of previously available data:
  - ~20 years for Pioneer 10
  - ~10 years for Pioneer 11
- Spot checks possible using early data spans prior to major planetary encounters

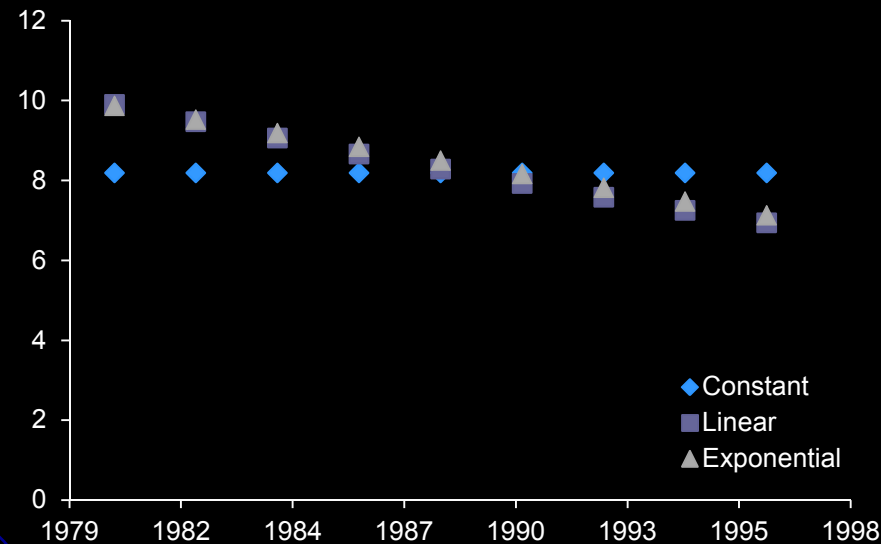
# The question of direction



- |    |  |
|----|--|
| 1. | Towards the Earth: Effect on radio beam?                 |
| 2. | Along the spin axis: On-board systematics?               |
| 3. | Towards the Sun: Gravity?                                |
| 4. | <del>Opposite the direction of motion: Drag force?</del> |

# Temporal behavior

- Is the acceleration constant or variable?



# The navigational solution

- Navigators aren't doing fundamental physics. They fix the *navigational problem* by introducing fictitious forces.
- A constant sunward acceleration ( $a_P = (8.74 \pm 1.33) \times 10^{-10} \text{ m/s}^2$ ) fixes the problem. It does NOT mean that the Pioneer spacecraft necessarily experience a constant sunward acceleration.

# Other solutions

- A temporally decaying acceleration fixes the problem and it is slightly better (no statistically significant difference.)
- Earthward acceleration fixes the problem.
- Earthward, temporally decaying acceleration fixes the problem.
- Other, equally valid solutions also exist.

# The goodness of fit

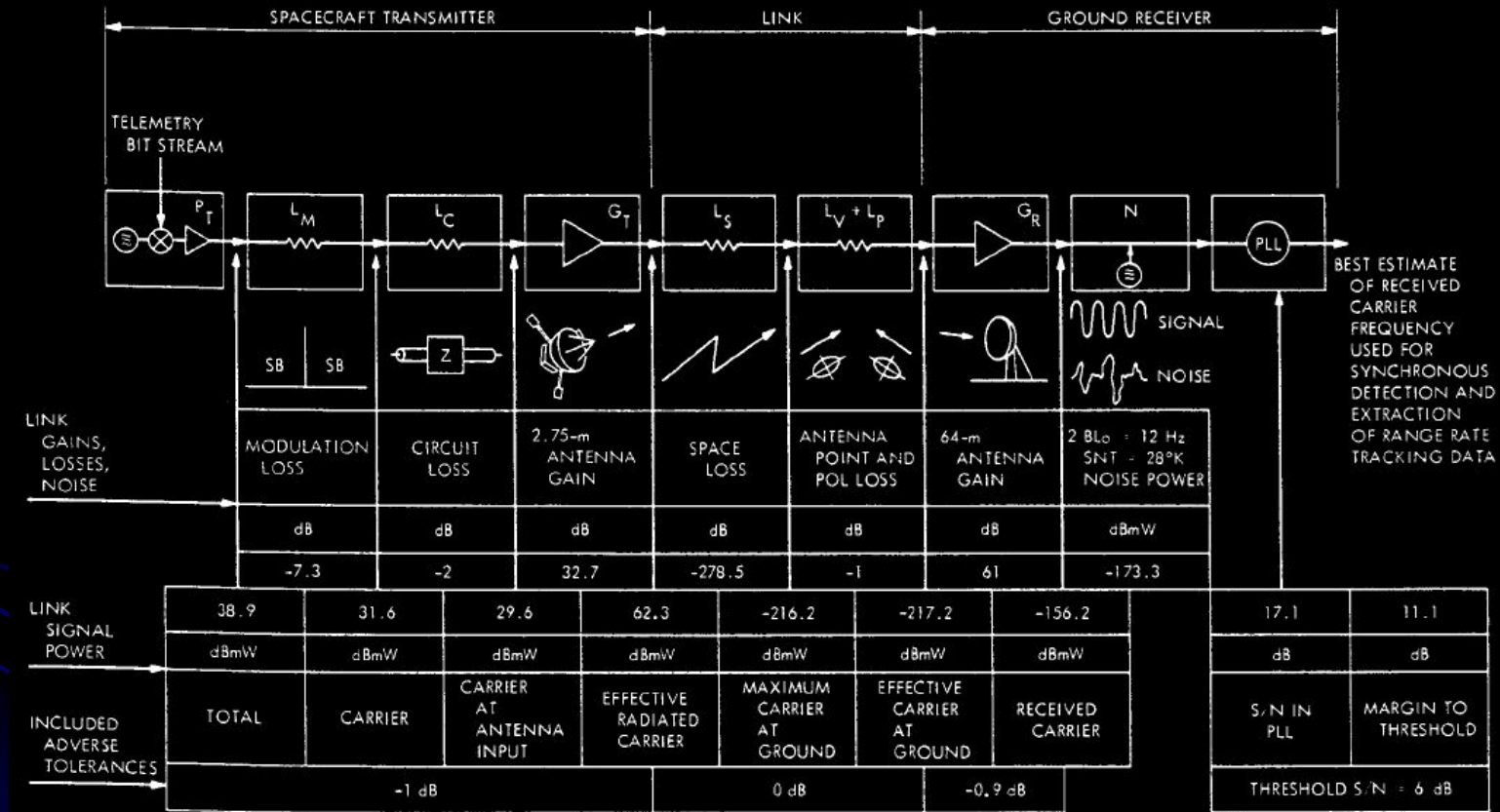
- To compare solutions, we compare residuals
- Even the best residual contains plenty of *systematic* error:
  - Mismodeling of the solar system
  - Unknowns: solar plasma, troposphere, other effects
  - Unmodeled forces: small leaks
  - Measurement noise, clock stability, etc.
  - Numerical accuracy



# Accuracy

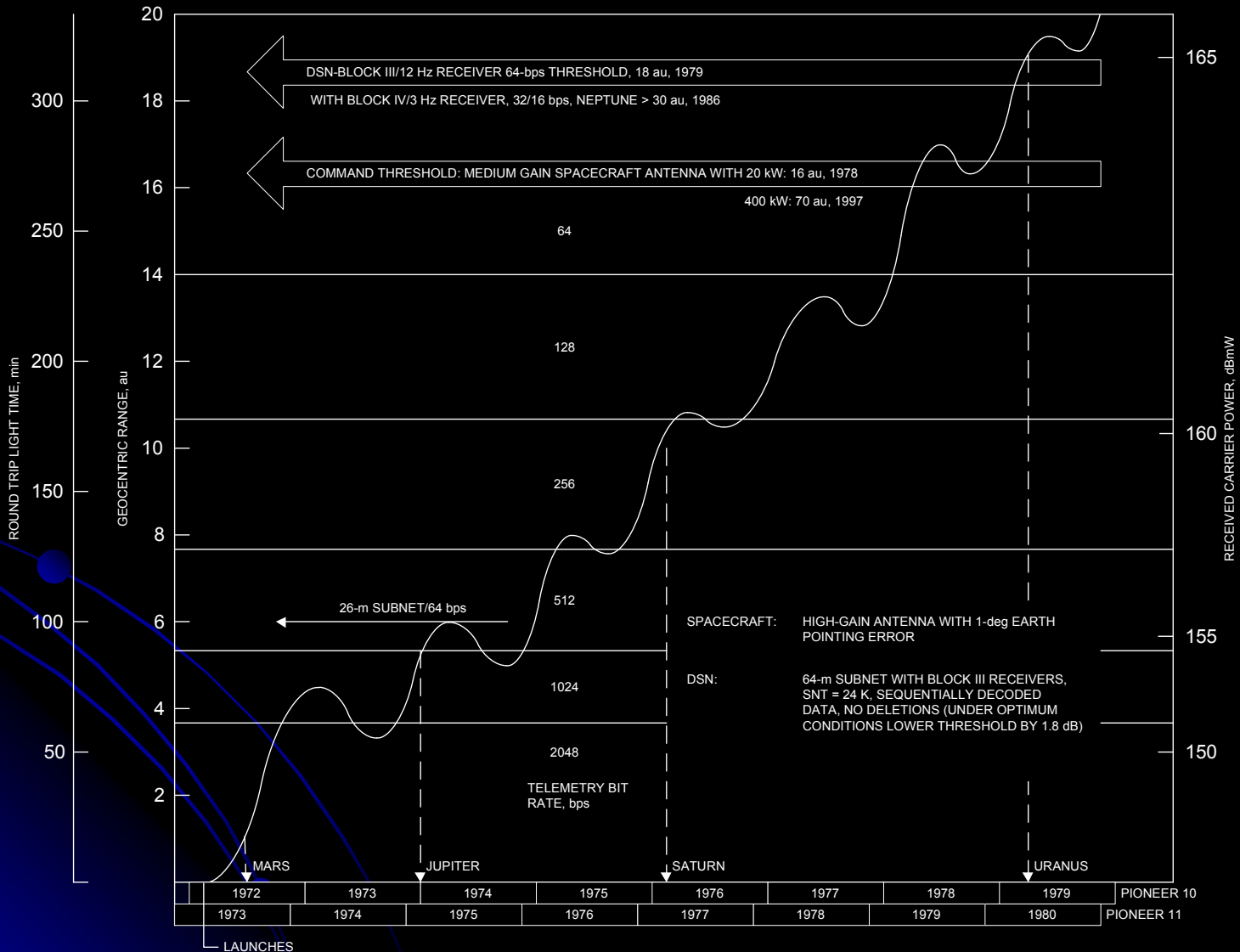
- 2.29 GHz radio signal is modeled with an accuracy of  $\sim 2$  mHz over a 20 year span
- Measurement and models must be accurate to better than 1 part in  $10^{14}$
- (IEEE 64-bit double precision floating point accuracy: about 1 part in  $10^{16}$ )

# Downlink power budget



Received power was  $-181$  dBm ( $<10^{-21}$  W) at EOM

# Downlink power budget



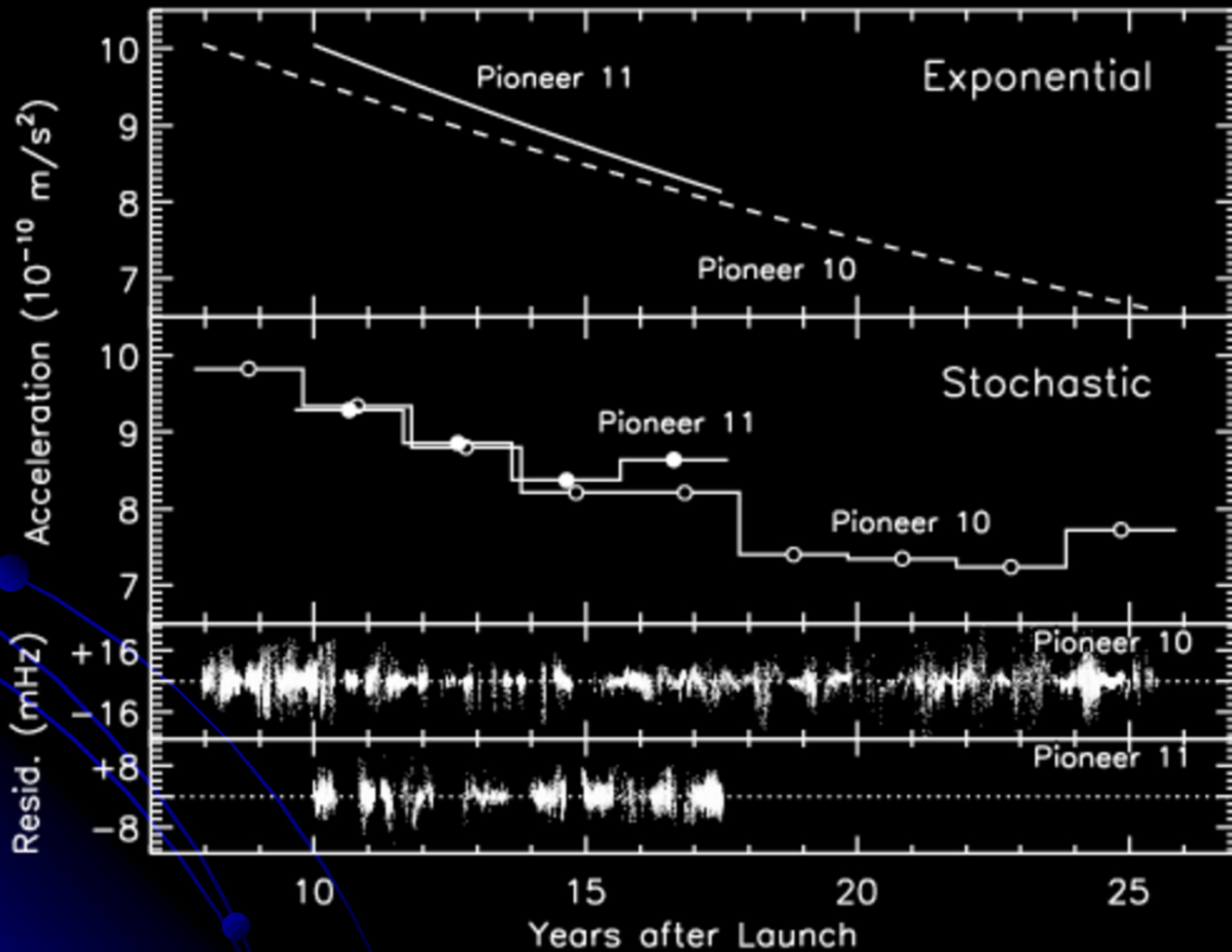
# Doppler analysis results

- The anomaly is confirmed with all available Doppler data
- Temporal decay is possible
- Earth direction is possible

# Formal vs. “realistic” errors

- Prior studies always quoted very small “formal” errors
- Each Doppler data point was treated as an independent error degree of freedom
- In reality, most of the error is due to systematics: instead of tens of thousands, only a few dozen error degrees of freedom
- Taking this into account, formal and “realistic” errors agree ( $\sim 1.5 \times 10^{-10} \text{ m/s}^2$ )

# Stochastic and exponential models

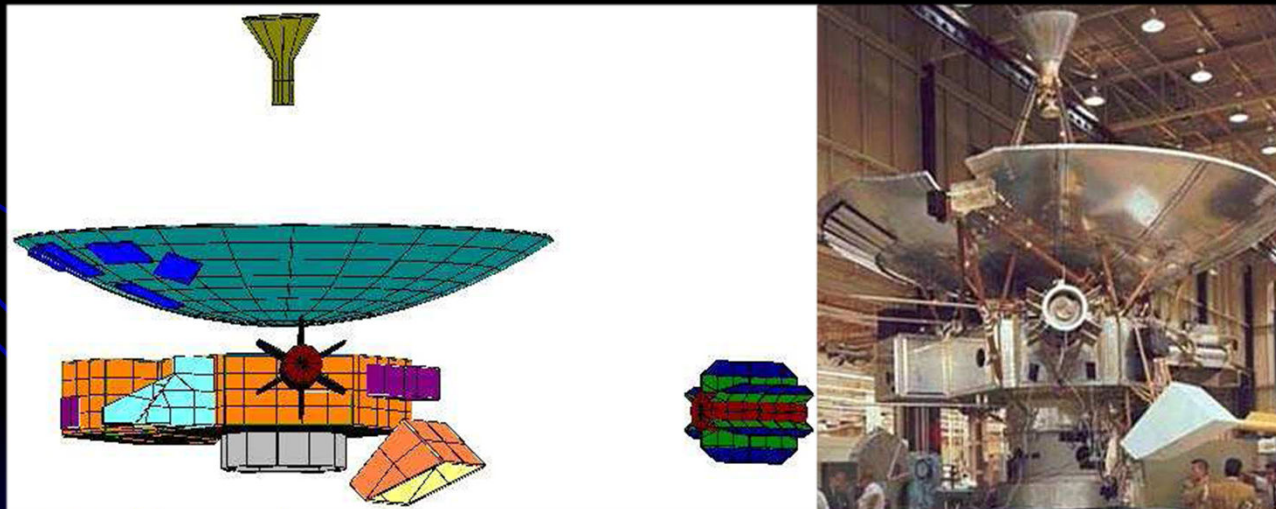


# New thermal analysis

- Comprehensive thermal model
- Use of all available data: Validate the model using redundant telemetry
- Model incorporated into the orbit determination code to reconstruct the actual observable (Doppler)

# A comprehensive model

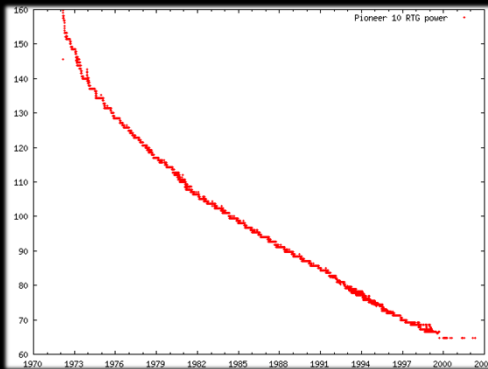
- Constructed by JPL engineers using “industry standard” tools and expertise



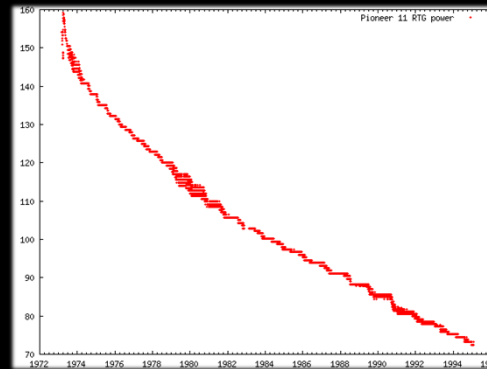


# Use of on-board telemetry

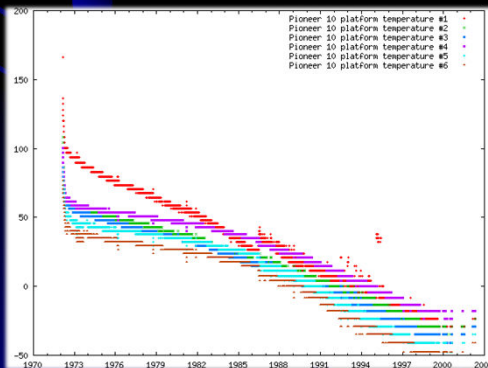
## Pioneer 10 RTG power



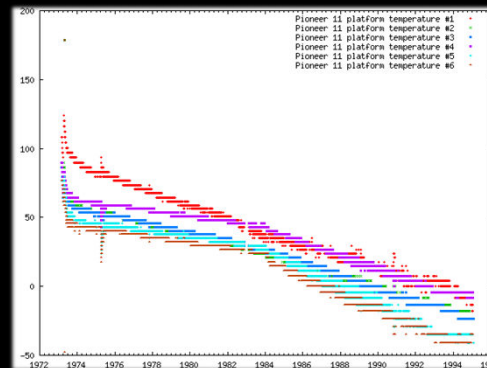
## Pioneer 11 RTG power



## Pioneer 10 platform temperatures



## Pioneer 11 platform temperatures



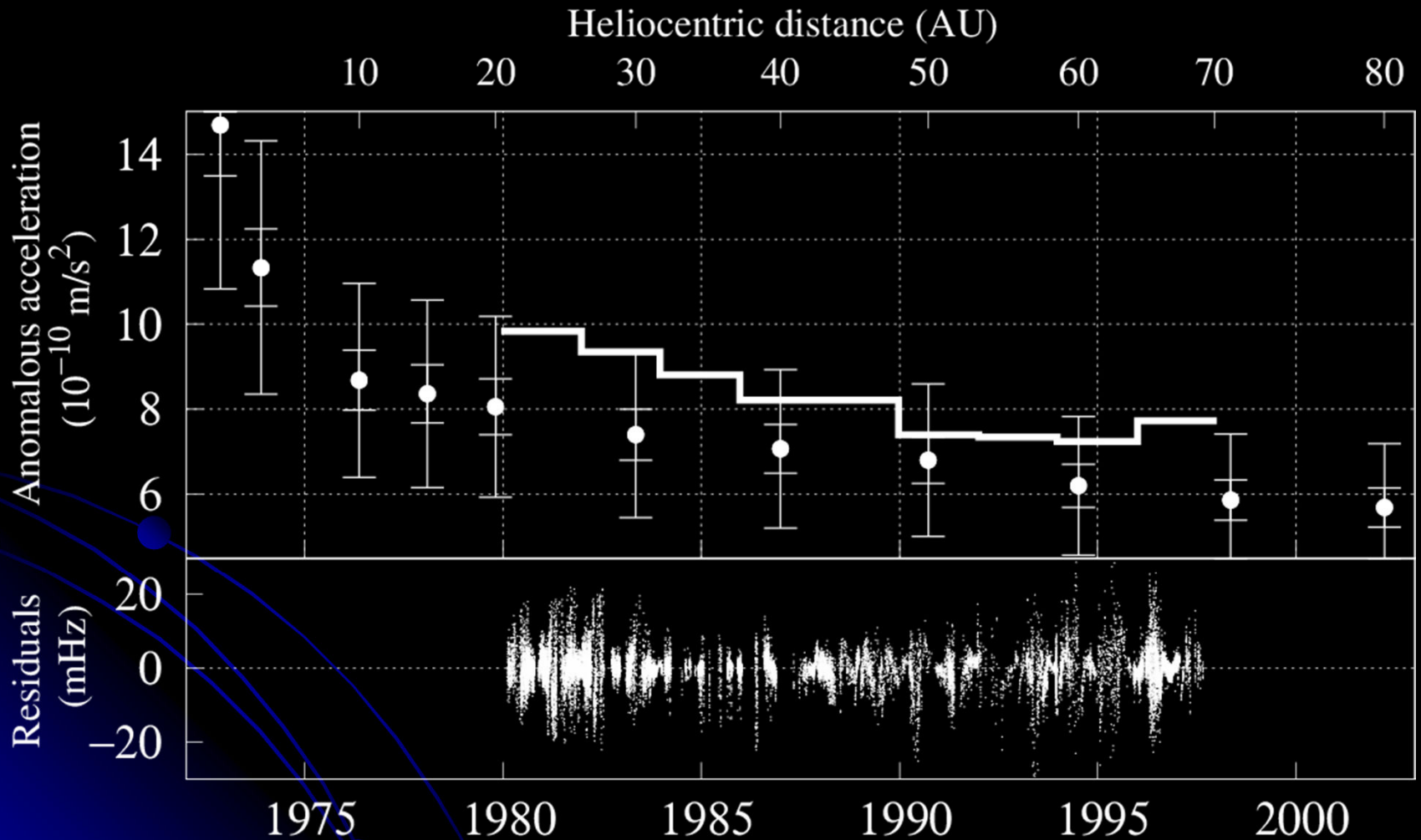
# Significance of spin

- Thermal forces are slowly changing. Rate of change much smaller than angular velocity:  $\dot{F}/F \ll \omega/\pi$
- To first order, force components perpendicular to spin axis average to zero
- Hence only spin axis component of thermal forces needs to be computed

# The biggest known unknown

- RTG coating: “three mils of zirconia [ $\text{ZrO}_2$ ] in a sodium silicate binder”
- Some similar paints gained emittance in thermal vacuum chamber tests; other paints lost emittance
- This specific paint was never tested
- RTG exterior temperatures may also play a role
- A 5% decrease in emissivity can result in a 50% increase in the RTG anisotropy; a roughly 25% error in the overall thermal recoil force

# Thermal results



# Linear behavior

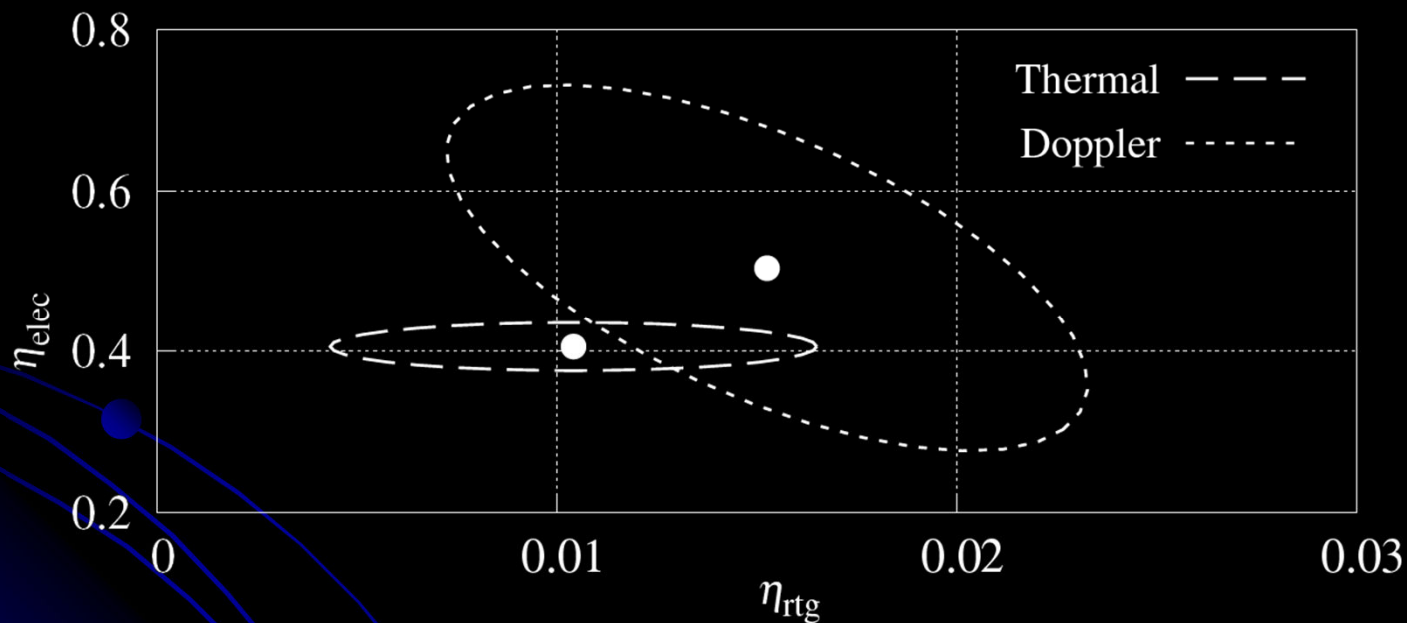
- The two significant non-transient heat sources are electrical and RTG:

$$F \approx c^{-1} \sum \eta_i Q_i \quad (i = \text{rtg, elec})$$

- No significant trapped heat relative to the rate of change in temperatures (no latency)
- No significant variability in the emission/absorption spectrum of materials at spacecraft temperatures
- Physical configuration of spacecraft and mass constant during deep space cruise
- Temperatures are high enough
  - it can be shown that the necessary condition is  $T^3 \gg k/\sigma\epsilon l$ , where  $k$  is the conductance,  $\epsilon$  is the emittance,  $l$  is the scale or thickness of the material, and  $\sigma$  is the Stefan-Boltzmann constant

# Comparison

- The  $\eta$  parameters can be estimated independently from thermal analysis or from Doppler fits



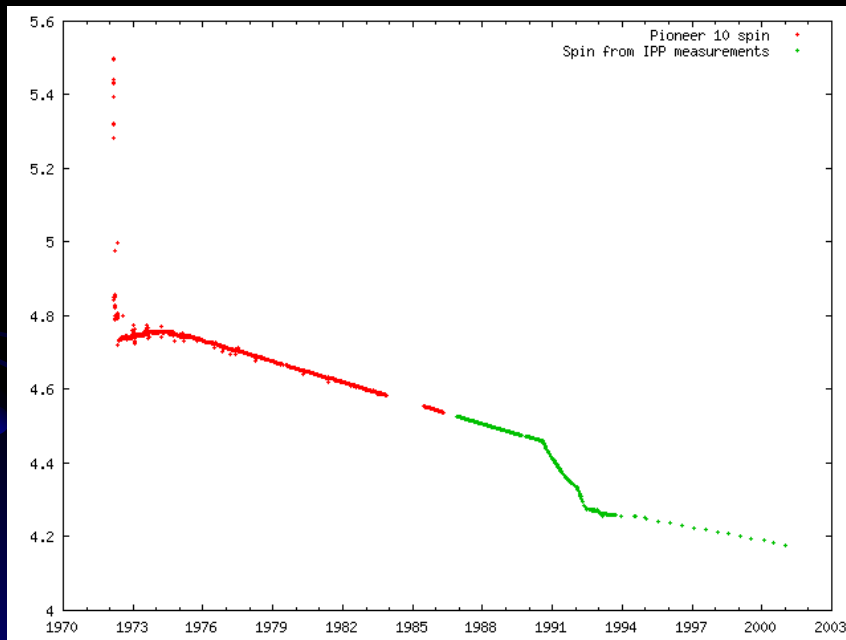
- No statistically significant anomaly remains

# Some open questions

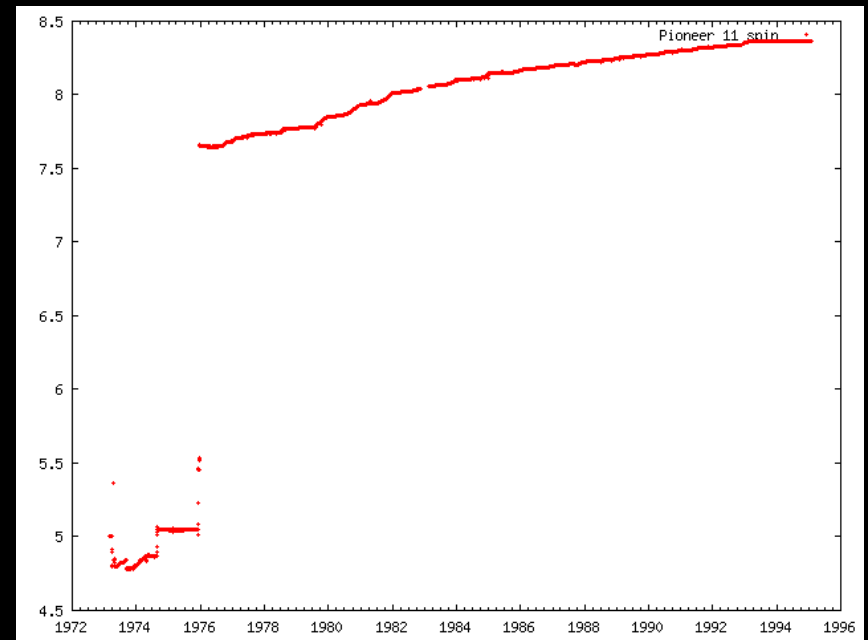
- Behavior of Pioneer 11 (no surprises expected)
- Analysis of spin rate change
- Onset and solar mismodeling
- Outgassing of surface materials
- Autocorrelation analysis
- RTG coating properties
- Using DSN signal strength measurements

# Spin history

## Pioneer 10 spin



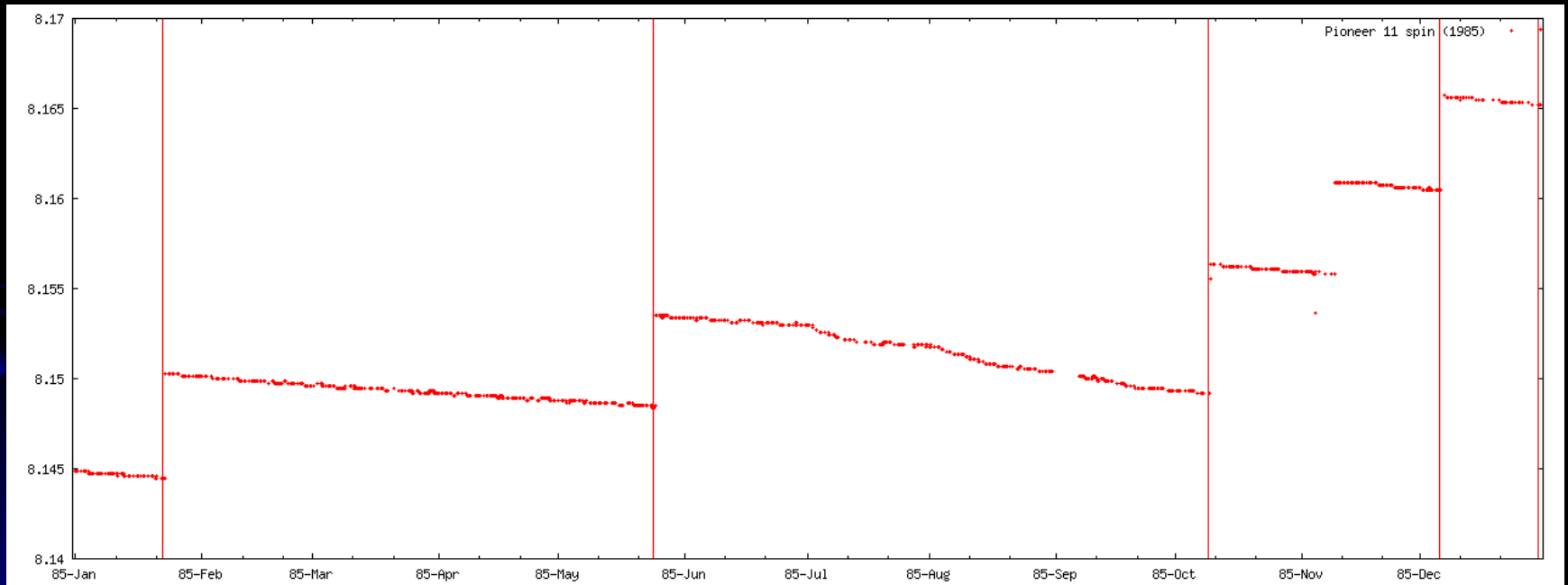
## Pioneer 11 spin



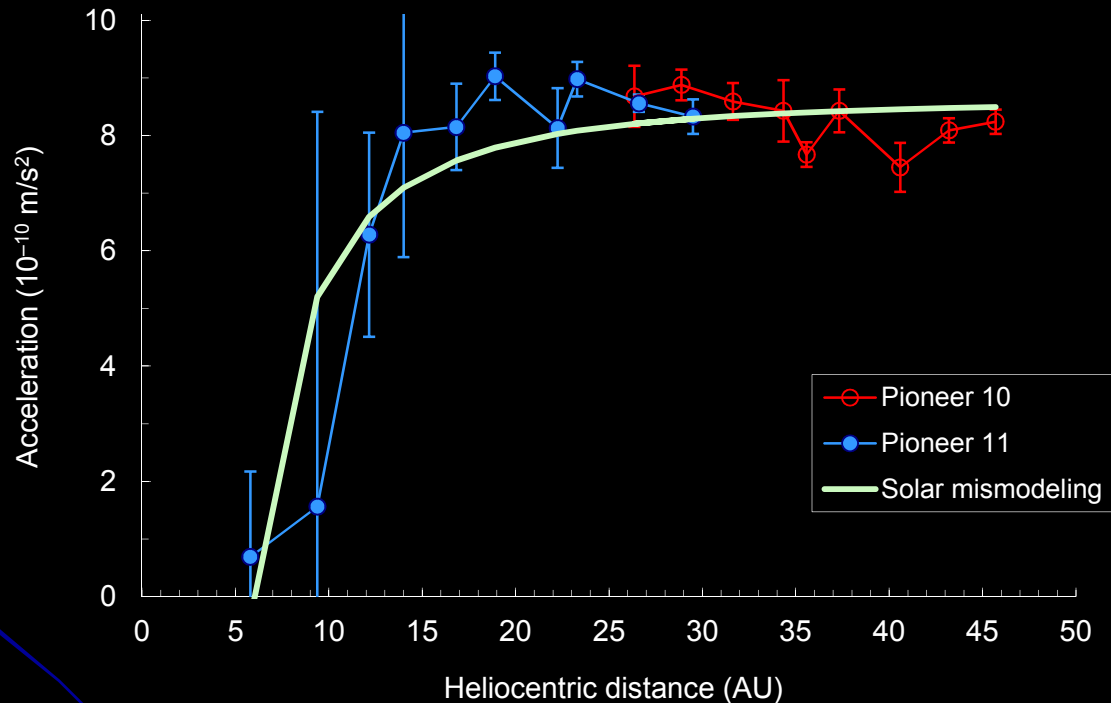


# Spin detail

## Pioneer 11 spin detail (1985)



# Onset



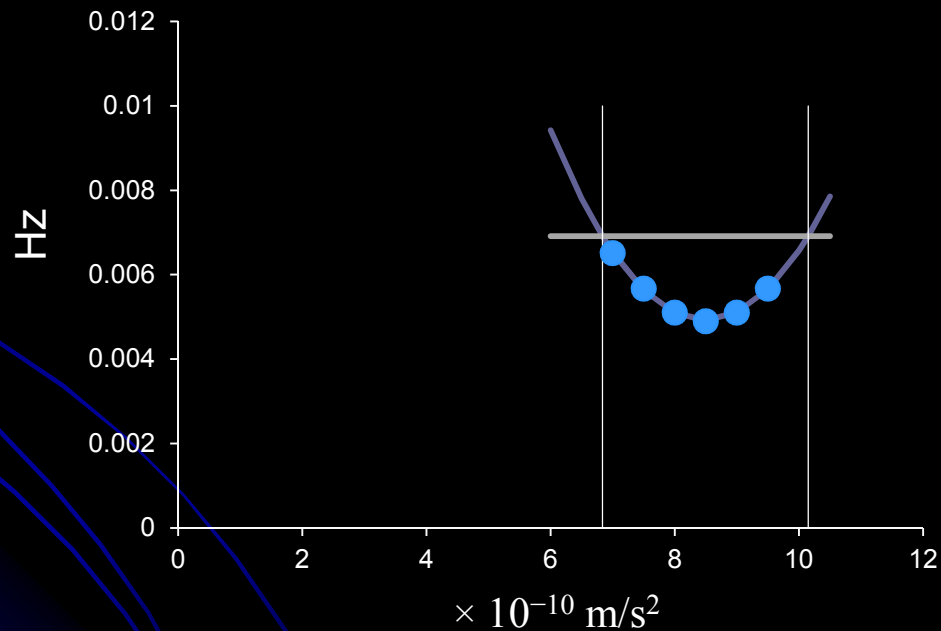
- At 6 AU, spacecraft still receives  $>225 \text{ W}$  of solar heating
- Onset likely an artifact of solar pressure mismodeling

# Autocorrelation

- If we assume that  $\text{DOF} = \text{number of model parameters}$ , we get “realistic errors”
- Statistical methods exist for estimating autocorrelation and the effective degrees of freedom (DOF) in unevenly sampled data
  - Computational difficulties
  - Stability of results

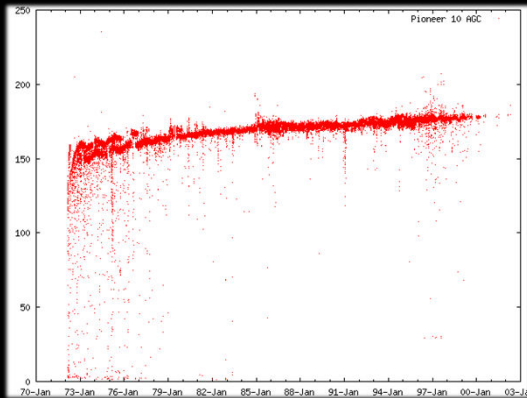
# Effect on residuals

- Detuning the model increases residuals

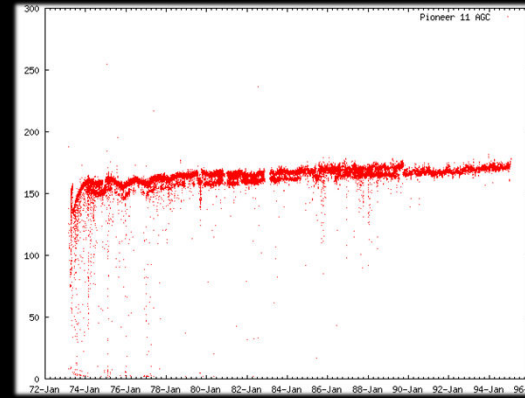


# DSN AGC

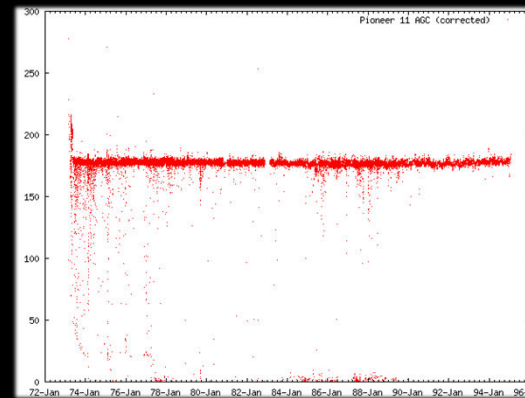
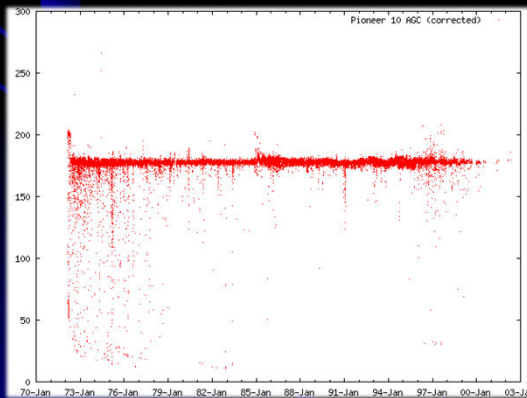
## Pioneer 10 AGC



## Pioneer 11 AGC

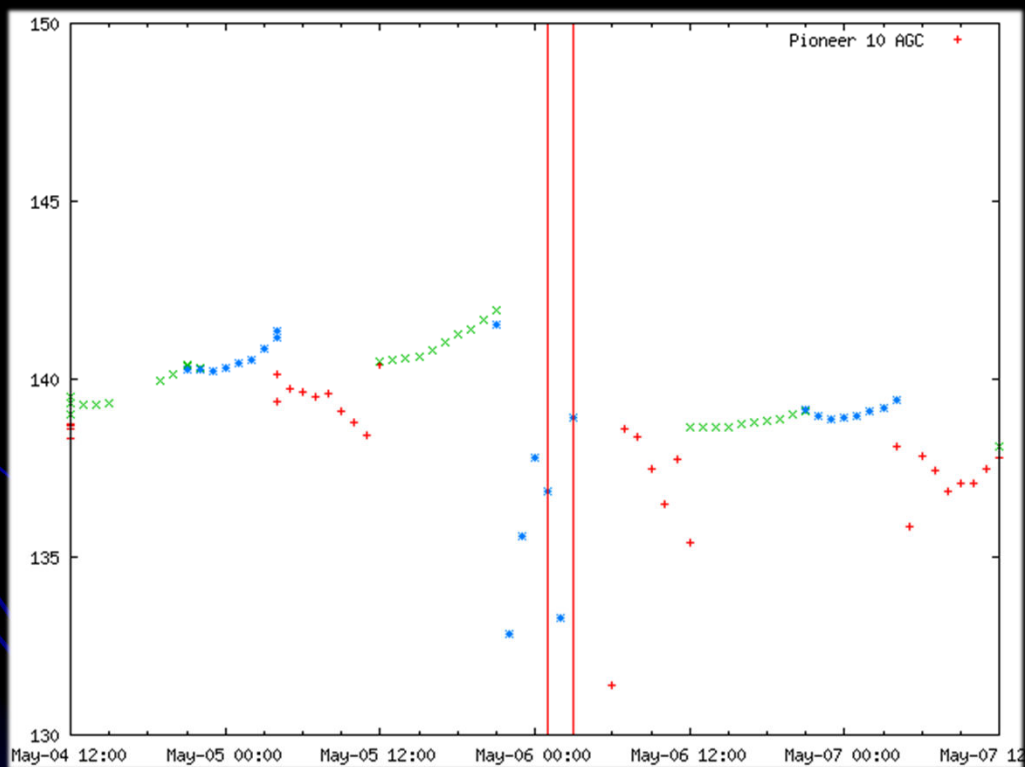


(corrected for distance, antenna size)



# DSN AGC detail

Pioneer 10 AGC, May 4-7 1972. Maneuver occurred on May 6; slight improvement in signal strength confirmed in AGC.



# Other spacecraft

- New Horizons: no funding for Doppler tracking; opportunity to confirm “onset” lost
- Voyagers: 3-axis stabilized
- Other spacecraft: wrong orbit, large RTGs, frequent maneuvers, etc.
- Pioneer 10 and 11 remain the most precisely navigated spacecraft in the outer solar system to date and for the foreseeable future

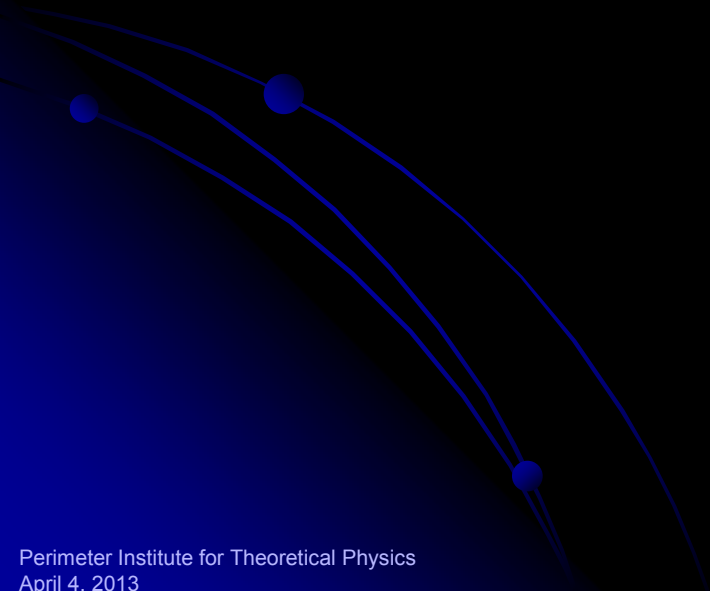
# Summary

- For the foreseeable future, Pioneer 10 and 11 remain the largest scale precision gravitational experiment ever conducted
- Ability to test post-Einsteinian gravity in the solar system would have been marvelous
- The anomaly was probably a wild goose chase
- Lessons to be learned:
  - Limits on navigational accuracy
  - Importance of preserving raw data and original documents
  - Dangers of “back of the envelope” estimation of small forces



# Thank you!

- Questions?



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